

# Modern C++ Programming

## 2. BASIC CONCEPTS I

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# Preparation

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# What Compiler Should I Use?

Popular (free) compilers:

- Microsoft Visual Code (**MSVC**) is the compiler offered by Microsoft
- The GNU Compiler Collection (**GCC**) contains the most popular C++ Linux compiler
- **Clang** is a C++ compiler based on LLVM Infrastructure available for Linux/Windows/Apple (default) platforms

Suggested compiler: **Clang**

- Comparable performance with GCC/MSVC and low memory usage [compilers comparison link]
- Expressive diagnostics (examples and propose corrections)
- Strict C++ compliance. GCC/MSVC compatibility (inverse direction is not ensured)
- Includes very useful tools: memory sanitizer, static code analyzer, automatic formatting, linter, etc.
- Easy to install: [releases.llvm.org](https://releases.llvm.org)

C++ compiler features support: [en.cppreference.com/w/cpp/compiler\\_support](https://en.cppreference.com/w/cpp/compiler_support)

# Install the Compiler

Install the last gcc/g++ (v9)

```
$ sudo add-apt-repository ppa:ubuntu-toolchain-r/test
$ sudo apt update
$ sudo apt install gcc-9 g++-9
$ gcc-9 --version
```

Install the last clang/clang++ (v9)

```
$ wget https://releases.llvm.org/9.0.0/clang+llvm-9.0.0-x86_64\
-linux-gnu-ubuntu-18.04.tar.xz
$ tar xf clang+llvm-9.0.0-x86_64-linux-gnu-ubuntu-18.04.tar.xz
$ PATH=$PATH:$(pwd)/bin
$ LD_LIBRARY_PATH=$LD_LIBRARY_PATH:$(pwd)/lib64
$ clang-9.0 --version
```

# What Editor/IDE Compiler Should I Use?

Popular C++ IDE (Integrated Development Environment) and editors:

- **Microsoft Visual Studio**. (free, Windows)
- **Clion** ([link](#)). (free for student). Powerful IDE with a lot of options
- **Atom** ([link](#)). Standalone editor oriented for programming (developed by GitHub)
- **Sublime Text editor** ([link](#)). Stand-alone editor oriented to programming
- **QT-Creator** ([link](#)). Fast (written in C++), simple
- **XCode**, **Eclipse** (**Cevelop**, [www.cevelop.com](http://www.cevelop.com)), **Vim**, etc.

Not suggested:

- Notepad, Gedit, and other similar editors  
Lack of support for programming

# How to Compile?

Compile C++11, C++14, C++17 programs:

```
g++ -std=c++11 <program.cpp> -o program
```

```
g++ -std=c++14 <program.cpp> -o program
```

```
g++ -std=c++17 <program.cpp> -o program
```

Compiler version and C++ Standard:

| Compiler | C++11 |         | C++14 |         | C++17 |         |
|----------|-------|---------|-------|---------|-------|---------|
|          | Core  | Library | Core  | Library | Core  | Library |
| g++      | 4.8.1 | 5.1     | 5.1   | 5.1     | 7.1   | ongoing |
| clang++  | 3.3   | 3.3     | 3.4   | 3.5     | 5.0   | ongoing |
| MSVC     | 19.0  | 19.0    | 19.10 | 19.0    | 19.14 | 19.14+  |

# Hello World



C code with printf:

```
#include <stdio.h>

int main() {
    printf("Hello World!\n");
}
```

`printf` prints on standard output

C++ code with streams:

```
#include <iostream>

int main() {
    std::cout << "Hello World!\n";
}
```

`cout` : represent the standard output stream

The previous example can be written with the global std namespace:

```
#include <iostream>
using namespace std;

int main() {
    cout << "Hello World!\n";
}
```

`std::cout` is an example of *output* stream. Data is redirected to a destination, in this case the destination is the standard output

```
C: #include <stdio.h>

int main() {
    int    a    = 4;
    double b    = 3.0;
    char   c[] = "hello";
    printf("%d %f %s\n", a, b, c);
}
```

```
C++: #include <iostream>

int main() {
    int    a    = 4;
    double b    = 3.0;
    char   c[] = "hello";
    std::cout << a << " " << b << " " << c << "\n";
}
```

- **Type-safe:** The type of object pass to I/O stream is known statically by the compiler. In contrast, `printf` uses "%" fields to figure out the types dynamically
- **Less error prone:** With IO Stream, there are no redundant "%" tokens that have to be consistent with the actual objects pass to I/O stream. Removing redundancy removes a class of errors
- **Extensible:** The C++ IO Stream mechanism allows new user-defined types to be pass to I/O stream without breaking existing code
- **Comparable performance:** If used correctly may be faster than C I/O (`printf`, `scanf`, etc)

- Forget the number of parameters:

```
printf("long phrase %d long phrase %d", 3);
```

- Use the wrong format:

```
int a = 3;  
...many lines of code...  
printf(" %f", a);
```

- The "%c" conversion specifier does not automatically skip any leading white space:

```
scanf("%d", &var1);  
scanf(" %c", &var2);
```

# C++ Primitive Types

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| Type                   | Size (bytes) | Range                                                        | Fixed width types |
|------------------------|--------------|--------------------------------------------------------------|-------------------|
| bool                   | 1            | true, false                                                  |                   |
| char <sup>†</sup>      | 1            | -127 to 127                                                  |                   |
| signed char            | 1            | -128 to 127                                                  | int8_t            |
| unsigned char          | 1            | 0 to 255                                                     | uint8_t           |
| short                  | 2            | -2 <sup>15</sup> to 2 <sup>15</sup> -1                       | int16_t           |
| unsigned short         | 2            | 0 to 2 <sup>16</sup> -1                                      | uint16_t          |
| int                    | 4            | -2 <sup>31</sup> to 2 <sup>31</sup> -1                       | int32_t           |
| unsigned int           | 4            | 0 to 2 <sup>32</sup> -1                                      | uint32_t          |
| long int               | 4/8*         |                                                              | int32_t/int64_t   |
| long unsigned int      | 4/8*         |                                                              | uint32_t/uint64_t |
| long long int          | 8            | -2 <sup>63</sup> to 2 <sup>63</sup> -1                       | int64_t           |
| long long unsigned int | 8            | 0 to 2 <sup>64</sup> -1                                      | uint64_t          |
| float (IEEE 754)       | 4            | $\pm 1.18 \times 10^{-38}$ to<br>$\pm 3.4 \times 10^{+38}$   |                   |
| double (IEEE 754)      | 8            | $\pm 2.23 \times 10^{-308}$ to<br>$\pm 1.8 \times 10^{+308}$ |                   |

\* 4 bytes on Windows64 systems, <sup>†</sup> one-complement

- **Any other entity in C++ is**
  - an *alias* to the correct type depending to the context and the architectures
  - a *composition* of builtin types: struct, class, union, etc.
- Interesting: C++ does not explicitly define the size of a byte (see Exotic architectures the standards committees care about)

## Builtin Types - Short Name

| Signed Type          | short name |
|----------------------|------------|
| signed char          | /          |
| signed short int     | short      |
| signed int           | int        |
| signed long int      | long       |
| signed long long int | long long  |

| Unsigned Type          | short name         |
|------------------------|--------------------|
| unsigned char          | /                  |
| unsigned short int     | unsigned short     |
| unsigned int           | unsigned           |
| unsigned long int      | unsigned long      |
| unsigned long long int | unsigned long long |

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[en.cppreference.com/w/cpp/language/types](https://en.cppreference.com/w/cpp/language/types)

[en.cppreference.com/w/cpp/types/integer](https://en.cppreference.com/w/cpp/types/integer)

## Builtin Types - Suffix and Prefix

| Type               | SUFFIX           | example |
|--------------------|------------------|---------|
| int                | <u>NO PREFIX</u> | 2       |
| unsigned int       | u                | 3u      |
| long int           | l                | 8l      |
| long unsigned      | ul               | 2ul     |
| long long int      | ll               | 4ll     |
| long long unsigned | ull              | 7ull    |
| int                |                  |         |
| float              | f                | 3.0f    |
| double             |                  | 3.0     |

| Representation | PREFIX   | example  |
|----------------|----------|----------|
| Binary C++14   | 0b       | 0b010101 |
| Octal          | 0        | 0308     |
| Hexadecimal    | 0x or 0X | 0xFFA010 |

**Implicit type conversion rules** (applied in order) :

⊗: any operations (\*, +, /, -, %, etc.)

**(a) Floating point promotion**

`floating_type` ⊗ `integer_type` = `floating_type`

**(b) Size promotion**

`small_type` ⊗ `large_type` = `large_type`

**(c) Sign promotion**

`signed_type` ⊗ `unsigned_type` = `unsigned_type`

# Common Errors

- Integers are not floating points!

```
int    b = 7;  
float  a = b / 2;    // a = 3 not 3.5!!  
int    a = b / 2.0;  // again a = 3 not 3.5!!
```

- Integer type are more accurate than floating types for large numbers!!

```
cout << 16777217;           // print 16777217  
cout << (int) 16777217.0f;  // print 16777216!!  
cout << (int) 16777217.0;   // print 16777217, double ok
```

- float numbers are different from double numbers!

```
cout << (1.1 != 1.1f); // print true !!!
```

## Other Data Types

- C++ provides also `long double` (no IEEE-754) of size 8/12/16 bytes depending on the implementation
- C++ does not provide support for **half float** (16-bit) data type (IEEE 754-2008)
  - Some compilers already provide support for half float (GCC for ARM: `_fp16`, LLVM compiler: `half`)
  - Some modern CPUs (+ Nvidia GPUs) provide half-float instructions
  - There is a proposal (next standard) since 2016
  - Software support (OpenGL, Photoshop, Lightroom, `half.sourceforge.net`)

## size\_t and std::byte

`size_t` `<cstdint>`

`size_t` is a data type (alias) capable of storing the biggest representable value on the current architecture

- `size_t` is an unsigned integer type (of at least 16-bit)
- In common C++ implementations:
  - `size_t` is 4 bytes on 32-bit architectures
  - `size_t` is 8 bytes on 64-bit architectures
- `size_t` is commonly used to represent size measures

C++17 defines also `std::byte` type to represent a collection of bit ( `<cstdint>` ). It supports only bitwise operations (no conversions or arithmetic operations)

# void Type

`void` is an incomplete type (not defined) without a values

- `void` indicates also a function has no return type  
e.g. `void f()`
- `void` indicates also a function has no parameters  
e.g. `f(void)`
- In C `sizeof(void) == 1` (GCC), while in C++  
`sizeof(void)` does not compile!!

```
int main() {  
    // sizeof(void); // compile error!!  
}
```

# Pointer type

The **type of a pointer** (e.g. `void*`) is an *unsigned* integer of 32-bit/64-bit depending on the underlying architecture

- It only supports the operators `+`, `-`, `++`, `--` and comparisons `==`, `!=`, `<`, `<=`, `>`, `>=`
- A pointer cannot be implicitly converted to an integer type

```
void* x;  
size_t y = (size_t) x; // ok  
// size_t y = x;      // compile error
```

# nullptr Keyword

C++11 introduces the new keyword `nullptr` to represent null pointers (instead of `NULL` macro)

```
int* p1 = NULL;    // ok, equal to int* p1 = 0
int* p2 = nullptr; // ok, nullptr is a pointer not a number

int n1 = NULL;    // ok, we are assigning 0 to n1
// int n2 = nullptr; // error! we are assigning a null pointer
//                      to an integer variable

// int* p2 = true ? 0 : nullptr; // incompatible types
```

Remember: `nullptr` is not a pointer, but an object of type `nullptr_t` → safer

# Integral Data Types

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# A Firmware Bug

*“Certain SSDs have a firmware bug causing them to irrecoverably fail after exactly 32,768 hours of operation. SSDs that were put into service at the same time will fail simultaneously, so RAID won’t help”*

HPE SAS Solid State Drives - Critical Firmware Upgrade





The latest news from Google AI

## Extra, Extra - Read All About It: Nearly All Binary Searches and Mergesorts are Broken

Friday, June 2, 2006

Posted by Joshua Bloch, Software Engineer

other examples: average, ceiling division, rounding division

# C++ Data Model

**LP32** Windows 16-bit APIs (no more used)

**ILP32** Windows 32-bit APIs, Unix 32-bit (Linux, Mac OS)

**LLP64** Windows 64-bit APIs

**LP64** Linux 64-bit APIs

| Model/Bits | short | int | long | long long | pointer |
|------------|-------|-----|------|-----------|---------|
| ILP32      | 16    | 32  | 32   | 64        | 32      |
| LLP64      | 16    | 32  | 32   | 64        | 64      |
| LP64       | 16    | 32  | 64   | 64        | 64      |

`char` == 8 bit

```
int*_t <cstdint>
```

C++ provides fixed width integer types. They have the same size on any architecture:

```
int8_t, uint8_t, int16_t, uint16_t  
int32_t, uint32_t, int64_t, uint64_t
```

*Good practice:* Prefer fixed-width integers instead of native types.

`int` and `unsigned` can be directly used as they are widely accepted by C++ data models

Warning: I/O Stream interprets `uint8_t` and `int8_t` as `char` and not as integer values

```
int8_t var;  
cin >> var; // read '2'  
cout << var; // print '2'  
int a = var * 2;  
cout << a; // print '100' !!
```

`int*_t` types are not “real” types, they are merely *typedefs* to appropriate fundamental types

C++ standard does not ensure an one-to-one mapping:

- There are **five** distinct *fundamental types* ( `char` , `short` , `int` , `long` , `long long` )
- There are **four** `int*_t` *overloads* ( `int8_t` , `int16_t` , `int32_t` , and `int64_t` )

```
#include <cstdint>
void f(int16_t x) {}
void f(int32_t x) {}
void f(int64_t x) {}
int main() {
    int x = 0;
    f(x); // compile error!! under 32-bit ARM GCC
} // "int" is not mapped to int*_t type in this (very) particular case
```

Signed and unsigned integers use the same hardware for their operations, but they have very different semantic:

## signed integers

- Represent positive, negative, and zero values ( $\mathbb{Z}$ )
- More negative values ( $2^{31} - 1$ ) than positive ( $2^{31} - 2$ )
- Overflow/underflow is undefined behavior

Possible behavior:

overflow:  $(2^{31} - 1) + 1 \rightarrow -1$

underflow:  $-2^{31} - 1 \rightarrow 0$

- Bit-wise operations are implementation-defined
- Commutative, reflexive, not associative (overflow)

### unsigned integers

- Represent only *non-negative* values ( $\mathbb{N}$ )
- Overflow/underflow is well-defined (modulo  $2^{32}$ )
- Discontinuity in  $0, 2^{32} - 1$
- Bit-wise operations are well-defined
- Commutative, reflexive, associative

## Google Style Guide

Because of historical accident, the C++ standard also uses unsigned integers to represent the size of containers - many members of the standards body believe this to be a mistake, but it is effectively impossible to fix at this point

**Solution:** use `int64_t`

**max value:**  $2^{63} - 1 = 9,223,372,036,854,775,807$  or  
9 quintillion (9 billion of billion),  
about 292 years (nanoseconds),  
9 million terabytes

# Builtin type limits

Query properties of arithmetic types in C++11:

```
#include <limits>

std::numeric_limits<int>::max();      //  $2^{31} - 1$ 
std::numeric_limits<uint16_t>::max(); // 65,535

std::numeric_limits<int>::min();      //  $-2^{31}$ 
std::numeric_limits<unsigned>::min(); // 0
```

# Promotion, Truncation, and Shift

*Promotion* to a larger type keeps the sign

```
int16_t x = -1;
int      y = x; // sign extend
cout << y;      // print -1

int64_t   z = 4294967296; // 2^32 ok
// int64_t z1 = 1 << 32;   // wrong!! (1) signed shift
//                               (2) shift > bits
// z is (potentially) 0
```

*Truncation* to a smaller type is implemented as a modulo operation and keeps the sign

```
int      x = 65537; // 2^16 + 1
int16_t  y = x;     // x % 2^16
cout << y;          // print 1
```

# Implicit Conversion

Integral data types smaller than 32-bit are *implicitly* promoted to `int`, independently if they are *signed* or *unsigned*

- Unary `+`, `-`, `~` and Binary `+`, `-`, `&`, etc. promotion:

```
char a = 48;           // '0'
cout << a;             // print '0'
cout << +a;            // print '48'
cout << (a + 0);       // print '48'

uint8_t a1 = 255;
uint8_t b1 = 255;
cout << (a1 + b1);     // print '510' (no overflow)
```

## Common errors:

```
unsigned a = 10;  // array is small
int      b = -1;
array[10ull + a * b] = 0;  // ?
```

💀 Segmentation fault!

```
int f(int a, unsigned b, int* array) {  // array is small
    if (a > b)
        return array[a - b];  // ?
    return 0;
}
```

💀 Segmentation fault!

```
// v.size() return unsigned
for (size_t i = 0; i < v.size() - 1; i++)
    array[i] = 3;  // ?
```

💀 Segmentation fault for `v.size() = 0!`

Easy case:

```
unsigned x = 32;    // x can be also a pointer
x          += 2u - 4; // 2u - 4 = 2 - (2^32 - 4)
                // (32 + (-2^32 + 2)) % 2^32
cout << x;         // print 30 (as expected)
```

What about the following code?

```
uint64_t x = 32;    // x can be also a pointer
x          += 2u - 4;
cout << x;
```

*More negative values than positive*

```
int x = std::numeric_limits<int>::max() * -1; // (2^31 - 1) * -1
cout << x;                                // -2^31 + 1 ok

int y = std::numeric_limits<int>::min() * -1; // -2^31 * -1
cout << y;                                // 2^31 overflow!!
```

*A practical example:*

```
void f(int* ptr, int size) {
    size += 1 ;
    if (size < 0) return; // <-- the compiler assumes that
    ptr[pos] = 0;         // signed overflow never happen
}                          // and removes the if statement

int main() {              // compiled with optimizations
    int tmp[10];          // leads to segmentation faults
    f(tmp, INT_MAX);
}
```

*Shift* larger than #bits of the data type is undefined behavior even for `unsigned`

```
unsigned x = 1;
unsigned y = x >> 32; // undefined behavior!!
```

*Undefined behavior in implicit conversion*

```
uint16_t a2 = 65535; // 0xFFFF
uint16_t b2 = 65535; // 0xFFFF
cout << (a2 * b2);    // print '-131071' (0xFFFE0001)
                      // |\textcolor{Maroon}{undefined behavior!!}| (in
```

*Even worse example:*

```
#include <iostream>

int main() {
    for (int i = 0; i < 4; ++i)
        std::cout << i * 1000000000 << std::endl;
}

// with optimizations, it is an infinite loop
// |\textcolor{Maroon}{undefined behavior!!}|

// the compiler translates the multiplication constant
// into an addition
```

# Overflow / Underflow

Floating point types have infinity values ( `+inf`, `-inf` ) and no overflow/underflow behavior

Detect overflow/underflow for unsigned integral types is **not trivial**

```
bool isAddOverflow(unsigned a, unsigned b) {  
    return (a + b) < a || (a + b) < b;  
}  
  
bool isMulOverflow(unsigned a, unsigned b) {  
    unsigned x = a * b;  
    return a != 0 && (x / a) != b;  
}
```

Overflow/underflow for signed integral types is **not defined** !!  
*Undefined behavior* must be checked before performing the operation

# Floating-point Arithmetic

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## 32/64-bit Floating-Point

**IEEE754** is the technical standard for floating-point arithmetic

The standard defines the binary format, operations behavior, rounding rules, exception handling, etc.

Releases:

- First: 1985
- Second: 2008. Add 16-bit floating point
- Third: 2019. Specify min/max behavior

IEEE764 technical document:

754-2019 - IEEE Standard for Floating-Point Arithmetic

In general, *C/C++ adopts IEEE754 floating-point standard*

# 32/64-bit Floating-Point

- **IEEE764 Single precision** (32-bit) (float)

**Sign**

1-bit

**Exponent** (or base)

8-bit

**Mantissa** (or significant)

23-bit

- **IEEE764 Double precision** (64-bit) (double)

**Sign**

1-bit

**Exponent** (or base)

11-bit

**Mantissa** (or significant)

52-bit

# 16-bit Floating-Point

- IEEE754 16-bit Floating-point (fp16)

**Sign**

1-bit

**Exponent**

5-bit

**Mantissa**

10-bit

- Google 16-bit Floating-point (bfloat16)

**Sign**

1-bit

**Exponent**

8-bit

**Mantissa**

7-bit

## Other Real Value Representations (non-standard)

- **Posit** (John Gustafson, 2017), also called *unum III* (*universal number*), represents floating-point values with *variable-width* of exponent and mantissa
- **Fixed-point** representation has a fixed number of digits after the radix point (decimal point). The gaps between adjacent numbers are always equal. The range of their values is significantly limited compared to floating-point numbers

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Reference:

Beating Floating Point at its Own Game: Posit Arithmetic

## Exponent Bias

In IEEE754 floating point numbers, the exponent value is offset from the actual value by the **exponent bias**

- The exponent is stored as an unsigned value suitable for comparison
- Floating point values are lexicographic ordered
- For a single-precision number, the exponent is stored in the range [1, 254] (0 and 255 have special meanings), and is biased by subtracting 127 to get an exponent value in the range  $[-126, +127]$
- Example

0

10000111

110000000000000000000000

+

$$2^{(135-127)} = 2^8$$

$$\frac{1}{2^1} + \frac{1}{2^2} = 0.5 + 0.25 = 0.75 \xrightarrow{\text{normal}} 1.75$$

$$+1.75 * 2^8 = 448.0$$

## Floating-point number:

- *Radix* (or base):  $\beta$
- *Precision* (or digits):  $p$
- *Exponent*:  $e$
- *Mantissa*:  $M$

$$n = \underbrace{M}_p \times \beta^e \rightarrow \text{IEEE754: } 1.M \times 2^e$$

Some examples:

```
float  f1 = 1.3f;    // 1.3
float  f2 = 1.1e2f;  // 1.1 · 102
float  f3 = 3.7E4f;  // 3.7 · 104
float  f4 = .3f;     // 0.3
double d1 = 1.3;     // without "f"
double d2 = 5E3;     // 5 · 103
```

## Normal number

A **normal** number is a floating point number that can be represented *without leading zeros in its mantissa* (one in the first left position) and at least one bit set in the exponent

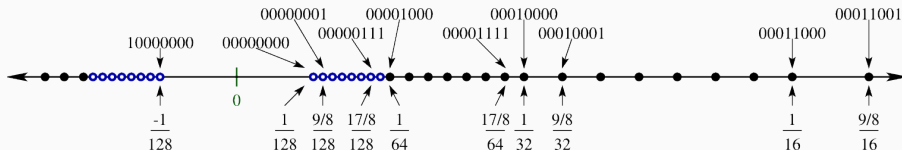
## Denormal number

**Denormal** (or subnormal) numbers fill the underflow gap around zero in floating-point arithmetic. Any non-zero number with magnitude smaller than the smallest normal number is denormal

If *the exponent is all 0s*, but the mantissa is non-zero (else it would be interpreted as zero), then the value is a denormal number

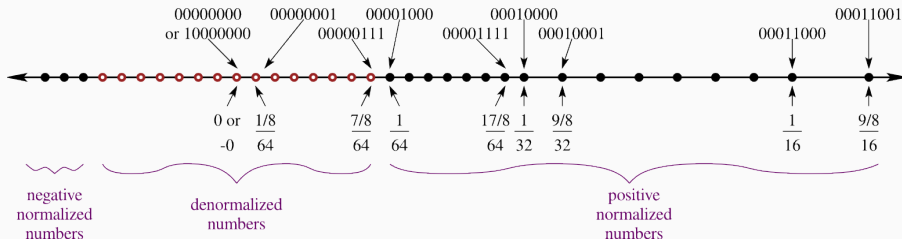
Why denormal numbers make sense:

(↓ normal numbers)



The problem: distance values from zero

(↓ denormal numbers)



# Floating-point - Special Values

- $\pm$  infinity

|   |          |                          |
|---|----------|--------------------------|
| * | 11111111 | 000000000000000000000000 |
|---|----------|--------------------------|

- NaN (mantissa  $\neq 0$ )

|   |          |       |
|---|----------|-------|
| * | 11111111 | ***** |
|---|----------|-------|

- $\pm 0$

|   |          |                          |
|---|----------|--------------------------|
| * | 00000000 | 000000000000000000000000 |
|---|----------|--------------------------|

- Denormal number ( $< 2^{-126}$ )(minimum:  $1.4 * 10^{-45}$ )

|   |          |       |
|---|----------|-------|
| * | 00000000 | ***** |
|---|----------|-------|

- Minimum (normal) ( $\pm 1.17549 * 10^{-38}$ )

|   |          |                          |
|---|----------|--------------------------|
| * | 00000001 | 000000000000000000000000 |
|---|----------|--------------------------|

- Lowest/Largest ( $\pm 3.40282 * 10^{+38}$ )

|   |          |                          |
|---|----------|--------------------------|
| * | 11111110 | 111111111111111111111111 |
|---|----------|--------------------------|

## Machine epsilon

**Machine epsilon**  $\epsilon$  (or *machine accuracy*) is defined to be the smallest number that can be added to 1.0 to give a number other than one

IEEE 754 Single precision :  $\epsilon = 2^{-23} \approx 1.19209 * 10^{-7}$

IEEE 754 Double precision :  $\epsilon = 2^{-52} \approx 2.22045 * 10^{-16}$

# Units at the Last Place

## ULP

**Units at the Last Place** is the gap between consecutive floating-point numbers

$$ULP(p, e) = 1.0 \times \beta^{e-(p-1)}$$

Example:

$$\beta = 10, p = 3$$

$$\pi = 3.1415926... \rightarrow x = 3.14 \times 10^0$$

$$ULP(3, 0) = 10^{-2} = 0.01$$

Relation with  $\epsilon$ :

- $\epsilon = ULP(p, 0)$
- $ULP_x = \epsilon * \beta^{e(x)}$

# Floating-point Error

Machine floating-point representation of  $x$  is denoted  $\mathbf{fl}(x)$

$$fl(x) = x(1 + \delta)$$

**Absolute Error:**  $|fl(x) - x| \leq \frac{1}{2} \cdot ULP_x$

**Relative Error:**  $\left| \frac{fl(x) - x}{x} \right| \leq \frac{1}{2} \cdot \epsilon$

## Floating-point Summary

|                                      | half                             | bfloat16                           | float                              | double                               |
|--------------------------------------|----------------------------------|------------------------------------|------------------------------------|--------------------------------------|
| <b>exponent</b>                      | 5-bit [0*-30]                    | 8-bit [0*-254]                     |                                    | 11-bit [0*-2046]                     |
| <b>bias</b>                          | 15                               | 127                                |                                    | 1023                                 |
| <b>mantissa</b>                      | 10-bit                           | 7-bit                              | 23-bit                             | 52-bit                               |
| <b>largest</b> ( $\pm$ )             | $2^{16}$<br>65,536               | $2^{128}$<br>$3.4 \cdot 10^{38}$   |                                    | $2^{1024}$<br>$1.8 \cdot 10^{308}$   |
| <b>smallest</b> ( $\pm$ )            | $2^{-14}$<br>0.00006             | $2^{-126}$<br>$1.2 \cdot 10^{-38}$ |                                    | $2^{-1022}$<br>$2.2 \cdot 10^{-308}$ |
| <b>smallest</b><br><b>(denormal)</b> | $2^{-24}$<br>$6.0 \cdot 10^{-8}$ | /                                  | $2^{-149}$<br>$1.4 \cdot 10^{-45}$ | $2^{-1074}$<br>$4.9 \cdot 10^{-324}$ |
| <b>epsilon</b>                       | $2^{-10}$<br>0.00098             | $2^{-7}$<br>0.0078                 | $2^{-23}$<br>$1.2 \cdot 10^{-7}$   | $2^{-52}$<br>$2.2 \cdot 10^{-16}$    |

# Floating-point - C++ limits

T: float or double

```
#include <limits>

// Check if the actual C++ implementation adopts
// the IEEE754 standard:
std::numeric_limits<T>::is_iec559;    // should return true

std::numeric_limits<T>::max();         // largest value

std::numeric_limits<T>::lowest();      // lowest value (C++11)

std::numeric_limits<T>::min();         // smallest value

std::numeric_limits<T>::denorm_min()  // smallest (denormal) value

std::numeric_limits<T>::epsilon();    // epsilon value
```

## NaN

In the IEEE754 standard, NaN (not a number) is a numeric data type value representing an undefined or unrepresentable value

Operations generating NaN :

- Operations with a NaN as at least one operand
- $\pm\infty \cdot \mp\infty$  ,  $0 \cdot \infty$
- $0/0, \infty/\infty$
- $\sqrt{x} \mid x < 0$
- $\log(x) \mid x < 0$
- $\sin^{-1}(x), \cos^{-1}(x) \mid x < -1 \text{ or } x > 1$

There are many representations for NaN (e.g.  $2^{24} - 2$  for float)

Comparison:  $(\text{NaN} == x) \rightarrow \text{false}$ , for every  $x$

$(\text{NaN} == \text{NaN}) \rightarrow \text{false}$

# inf Properties

## inf

In the IEEE754 standard, `inf` (infinity value) is a numeric data type value that exceeds the maximum (or minimum) representable value

Operations generating `inf` :

- $\pm\infty \cdot \pm\infty$
- $\pm\infty \cdot \pm\text{finite\_value}$
- $\text{finite\_value} \text{ op } \text{finite\_value} > \text{max\_value}$
- $\text{non-NaN} / \pm 0$

There is a single representation for `+inf` and `-inf`

Comparison:  $(\text{inf} == \text{finite\_value}) \rightarrow \text{false}$   
 $(\pm\text{inf} == \pm\text{inf}) \rightarrow \text{true}$

# Floating-point - Useful Functions

```
#include <limits>
```

```
std::numeric_limits<T>::infinity() // infinity
```

```
std::numeric_limits<T>::quiet_NaN() // NaN
```

```
#include <cmath> // C++11
```

```
bool std::isnan(T value) // check if value is NaN
```

```
bool std::isinf(T value) // check if value is  $\pm$ infinity
```

```
bool std::isfinite(T value) // check if value is not NaN  
// and not  $\pm$ infinity
```

```
bool std::isnormal(T value); // check if value is normal
```

```
T std::ldexp(T x, p) // exponent shift  $x * 2^p$ 
```

```
int std::ilogb(T value) // extracts the exponent of value
```

# Floating-point Special Values Behavior

```
cout << 0 / 0;           // undefined behavior
cout << 0.0 / 0.0;       // print "nan" (undefined behavior)
cout << 5.0 / 0.0;       // print "inf" (undefined behavior)
cout << -5.0 / 0.0;      // print "-inf" (undefined behavior)
```

```
auto inf = std::numeric_limits<float>::infinity;
cout << (-0.0 == 0.0);    // true
cout << ((5.0f / inf) == (-5.0f / inf)); // true
cout << (10e40f) == (10e40f + 9999999.0f); // true
cout << (10e40)  == (10e40f + 9999999.0f); // false
```

C++11 allows determining if a floating-point exceptional condition has occurred by using floating-point exception facilities provided in

`<cfenv>`

```
#include <cfenv>
// MACRO
FE_DIVBYZERO    // division by zero
FE_INEXACT      // rounding error
FE_INVALID      // invalid operation, i.e. NaN
FE_OVERFLOW     // overflow (reach saturation value +inf)
FE_UNDERFLOW   // underflow (reach saturation value -inf)
FE_ALL_EXCEPT // all exceptions

// functions
std::feclearexcept(FE_ALL_EXCEPT); // clear exception status
std::fetestexcept(<macro>);          // returns a value != 0 if an
                                     // exception has been detected
```

```
#include <cfenv>    // floating point exceptions
#include <iostream>

#pragma STDC FENV_ACCESS ON // tell the compiler to manipulate
                             // the floating-point environment
                             // (not supported by all compilers)
                             // gcc: yes, clang: no

int main() {
    std::feclearexcept(FE_ALL_EXCEPT); // clear
    auto x = 1.0 / 0.0;                  // all compilers
    std::cout << (bool) std::fetestexcept(FE_DIVBYZERO); // print true

    std::feclearexcept(FE_ALL_EXCEPT); // clear
    auto x2 = 0.0 / 0.0;                  // all compilers
    std::cout << (bool) std::fetestexcept(FE_INVALID); // print true

    std::feclearexcept(FE_ALL_EXCEPT); // clear
    auto x4 = 1e38f * 10;                 // gcc: ok
    std::cout << std::fetestexcept(FE_OVERFLOW);      // print true
}
```

Floating-point operations are written

- $\oplus$  addition
- $\ominus$  subtraction
- $\otimes$  multiplication
- $\oslash$  division

$$\odot \in \{\oplus, \ominus, \otimes, \oslash\}$$

$op \in \{+, -, *, \backslash\}$  denotes exact precision operations

(P1) In general,  $a \text{ op } b \neq a \odot b$

(P2) **Not Reflexive**  $a \odot a$

- *Reflexive* without NaN

(P3) **Not Commutative**  $a \odot b \neq b \odot a$

- *Commutative* without NaN ( $\text{NaN} \neq \text{NaN}$ )

(P4) In general, **Not Associative**  $(a \odot b) \odot c \neq a \odot (b \odot c)$

(P5) In general, **Not Distributive**  $(a \oplus b) \otimes c \neq (a \cdot c) \oplus (b \cdot c)$

(P6) **Identity on operations is not ensured**  $(k \oslash a) \otimes a \neq a$

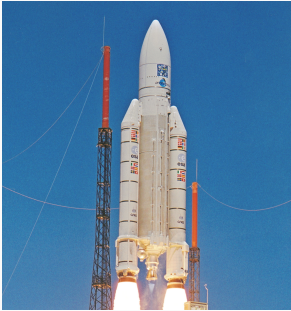
(P7) **No overflow/underflow** Floating-point has "saturation"

values  $\text{inf}$ ,  $-\text{inf}$

- Adding (or subtracting) can "saturate" before  $\text{inf}$ ,  $-\text{inf}$

# Floating-point Issues

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**Ariane 5:** data conversion  
from 64-bit floating point  
value to 16-bit signed integer  
→ *\$137 million*



**Patriot Missile:** small chop-  
ping error at each operation,  
100 hours activity  
→ *28 deaths*

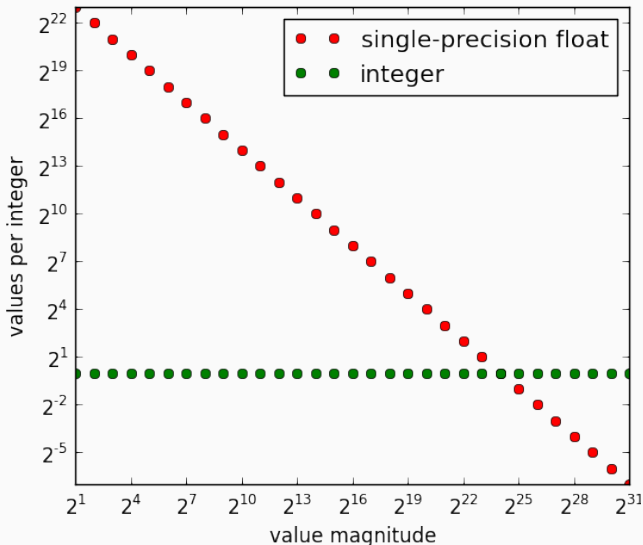
## The floating point precision is finite!

```
cout << setprecision(20);  
cout << 3.33333333f; // print 3.333333254!!  
cout << 3.33333333; // print 3.333333333  
cout << (0.1 + 0.1 + 0.1 + 0.1 + 0.1 + 0.1);  
// print 0.59999999999999998
```

## Floating point arithmetic is not associative

```
cout << 0.1 + (0.2 + 0.3) == (0.1 + 0.2) + 0.3; // print false
```

IEEE764 Floating-point computation guarantees to produce **deterministic** output, namely the exact bitwise value for each run, if and only if the **order of the operations is always the same**  
→ *same result on any machine and for all runs*



**Intersection** =  $16,777,216 = 2^{24}$

Floating-point increment

```
float x = 0.0f;
for (int i = 0; i < 200000000; i++)
    x += 1.0f;
```

What is the value of `x` at the end of the loop?

---

Ceiling division  $\left\lceil \frac{a}{b} \right\rceil$

```
//      std::ceil((float) 101 / 2.0f) -> 50.5f -> 51
float x = std::ceil((float) 20000001 / 2.0f);
```

## The problem

```
cout << (0.11f + 0.11f < 0.22f); // print true!!  
cout << (0.1f + 0.1f > 0.2f);    // print true!!
```

Do not use absolute error margins!!

```
bool areFloatNearlyEqual(float a, float b) {  
    if (std::abs(a - b) < epsilon); // epsilon is fixed by the user  
        return true  
    return false;  
}
```

Problems:

- Fixed epsilon “looks small” but, it could be too large when the numbers being compared are very small
- If the compared numbers are very large, the epsilon could end up being smaller than the smallest rounding error, so that the comparison always returns false

**Solution:** Use relative error  $\frac{|a-b|}{b} < \epsilon$

```
bool areFloatNearlyEqual(float a, float b) {  
    if (std::abs(a - b) / b < epsilon); // epsilon is fixed  
        return true  
    return false;  
}
```

Problems:

- $a=0$ ,  $b=0$  The division is evaluated as  $0.0/0.0$  and the whole if statement is  $(\text{nan} < \text{epsilon})$  which always returns false
- $b=0$  The division is evaluated as  $\text{abs}(a)/0.0$  and the whole if statement is  $(+\text{inf} < \text{epsilon})$  which always returns false
- $a$  and  $b$  very small. The result should be true but the division by  $b$  may produce wrong results
- It is not commutative. We always divide by  $b$

Possible solution:  $\frac{|a-b|}{\max(|a|,|b|)} < \varepsilon$

```
bool areFloatNearlyEqual(float a, float b) {
    const float normal_min      = std::numeric_limits<float>::min();
    const float relative_error = <user_defined>

    if (std::isfinite(a) || isfinite(b)) // a = ±∞, b = ±∞ and NaN
        return false;
    float diff = std::abs(a - b);
    // if "a" and "b" are near to zero, the relative error is less
    // effective
    if (diff <= normal_min)
        return true; // or also: user_epsilon * normal_min

    float abs_a = std::abs(a);
    float abs_b = std::abs(b);
    return (diff / std::max(abs_a, abs_b)) <= relative_error;
}
```

# Floating-point Algorithms

- **addition algorithm** (simplified):

- (1) Compare the exponents of the two numbers. Shift the smaller number to the right until its exponent would match the larger exponent
- (2) Add the mantissa
- (3) Normalize the sum if needed (shift right/left the exponent)

- **multiplication algorithm** (simplified):

- (1) Multiplication of mantissas. The number of bits of the result is twice the size of the operands ( $46 + 2$  bits,  $+2$  for implicit normalization)
- (2) Normalize the product if needed (shift right/left the exponent)
- (3) Addition of the exponents

- **fused multiply-add (fma):**

- Recent architectures (also GPUs) provide `fma` to compute these two operations in a single instruction (performed by the compiler)
- The rounding error is lower  $fl(fma(x, y, z)) < fl((x \otimes y) \oplus z)$

## Catastrophic Cancellation

**Catastrophic cancellation** (or *loss of significance*) refers to loss of relevant information in a floating-point computation that cannot be reversed

Two cases:

- (1)  $\mathbf{a} \pm \mathbf{b}$ , where  $\mathbf{a} \gg \mathbf{b}$  or  $\mathbf{b} \gg \mathbf{a}$ . The value (or part of the value) of the smaller number is lost
- (2)  $\mathbf{a} - \mathbf{b}$ , where  $\mathbf{a} \approx \mathbf{b}$ . Loss of precision in both  $a$  and  $b$ . It implies large relative error

*How many iterations performs the following code?*

```
while (x > 0)
    x = x - y;
```

|         |                |           |                          |
|---------|----------------|-----------|--------------------------|
| float:  | x = 10,000,000 | y = 1     | -> 10,000,000            |
| float:  | x = 30,000,000 | y = 1     | -> does not terminate    |
| float:  | x = 200,000    | y = 0.001 | -> does not terminate    |
| bfloat: | x = 256        | y = 1     | -> does not terminate !! |

Let's solve a quadratic equation:

$$x_{1,2} = \frac{-b \pm \sqrt{b^2 - 4ac}}{2a}$$

$$x^2 + 5000x + 0.25 \quad x_{1,2} = 0.00005, -5000$$

```
(-5000 + std::sqrt(5000.0f * 5000.0f - 4.0f * 1.0f * 0.25f)) / 2  
(-5000 + std::sqrt(25000000.0f - 1.0f)) / 2 // !!  
(-5000 + std::sqrt(25000000.0f)) / 2  
(-5000 + 5000) / 2 = 0
```

$$\text{relative error: } \frac{|0 - 0.00005|}{0.00005} = 100\%$$

# Minimize Error Propagation

- Prefer **multiplication/division** rather than addition/subtraction
- Scale by a **power of two** is safe
- Try to reorganize the computation to **keep near** numbers with the same scale (e.g. sorting numbers)
- Consider to **put a zero** very small number (under a threshold). Common application: iterative algorithms
- **Switch to log scale**. Multiplication becomes Add, and Division becomes Subtraction

# References

## Suggest reading:

- What Every Computer Scientist Should Know About Floating-Point Arithmetic
- Do Developers Understand IEEE Floating Point?
- Yet another floating point tutorial
- Unavoidable Errors in Computing

## Floating-point Comparison:

- The Floating-Point Guide - Comparison
- Comparing Floating Point Numbers, 2012 Edition
- Some comments on approximately equal FP comparisons
- Comparing Floating-Point Numbers Is Tricky

## Floating point online visualization tool:

[www.h-schmidt.net/FloatConverter/IEEE754.html](http://www.h-schmidt.net/FloatConverter/IEEE754.html)

see “Code Optimization” for other floating-point related issues

# On Floating-point



DURING A COMPETITION, I TOLD THE PROGRAMMERS ON OUR TEAM THAT  $e^{\pi} - \pi$  WAS A STANDARD TEST OF FLOATING-POINT HANDLERS -- IT WOULD COME OUT TO 20 UNLESS THEY HAD ROUNDING ERRORS.

