# Modern C++ Programming

# 2. Basic Concepts I

FUNDAMENTAL TYPES

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**Preparation** 

# What Compiler Should I Use?

### Most popular compilers:

- Microsoft Visual Code (MSVC) is the compiler offered by Microsoft
- The GNU Compiler Collection (GCC) contains the most popular C++ Linux compiler
- Clang is a C++ compiler based on LLVM Infrastructure available for Linux/Windows/Apple (default) platforms

## Suggested compiler on Linux for beginner: Clang

- Comparable performance with GCC/MSVC and low memory usage
- Expressive diagnostics (examples and propose corrections)
- Strict C++ compliance. GCC/MSVC compatibility (inverse direction is not ensured)
- Includes very useful tools: memory sanitizer, static code analyzer, automatic formatting, linter, etc.

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### **Install the Compiler on Linux**

### Install the last gcc/g++ (v11) (v12 on Ubuntu 22.04)

```
$ sudo add-apt-repository ppa:ubuntu-toolchain-r/test
$ sudo apt update
$ sudo apt install gcc-11 g++-11
$ gcc-11 --version
```

### Install the last clang/clang++ (v16)

```
$ bash -c "$(wget -0 - https://apt.llvm.org/llvm.sh)"
$ wget https://apt.llvm.org/llvm.sh
$ chmod +x llvm.sh
$ sudo ./llvm.sh 16
$ clang++ --version
```

# Install the Compiler on Windows

### Microsoft Visual Studio

• Direct Installer: Visual Studio Community 2022

### **Clang on Windows**

Two ways:

- Windows Subsystem for Linux (WSL)
  - lacktriangle Run ightarrow optionalfeatures
  - Select Windows Subsystem for Linux, Hyper-V,
     Virtual Machine Platform
  - lacktriangledown Run ightarrow ms-windows-store: ightarrow Search and install Ubuntu 22.04 LTS
- Clang + MSVC Build Tools
  - Download Build Tools per Visual Studio
  - Install Desktop development with C++

# What Editor/IDE Compiler Should I Use?

Popular C++ IDE (Integrated Development Environment):

- Microsoft Visual Studio (MSVC) (link). Most popular IDE for Windows
- Clion (link). (free for student). Powerful IDE with a lot of options
- QT-Creator (link). Fast (written in C++), simple
- XCode. Default on Mac OS
- Cevelop (Eclipse) (link)

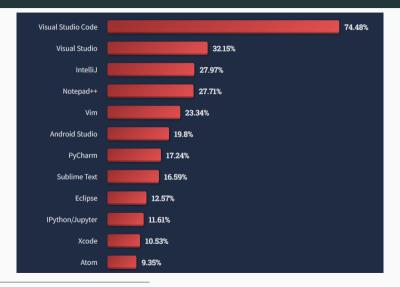
Standalone editors for coding (multi-platform):

- Microsoft Visual Studio Code (VSCode) (link)
  - **Sublime Text editor** (link), written in C++
  - Vim. Powerful, but needs expertise

Not suggested: Notepad, Gedit, and other similar editors (lack of support for programming)

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# What Editor/IDE Compiler Should I Use?



## How to Compile?

### Compile C++11, C++14, C++17, C++20 programs:

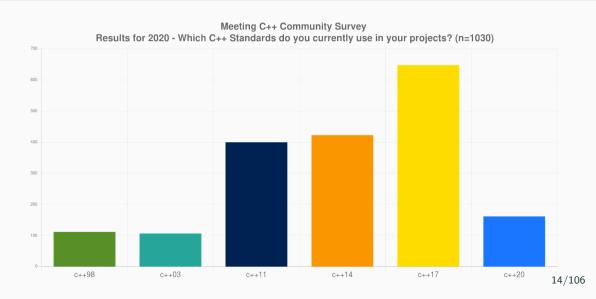
```
g++ -std=c++11 <program.cpp> -o program
g++ -std=c++14 <program.cpp> -o program
g++ -std=c++17 <program.cpp> -o program
g++ -std=c++20 <program.cpp> -o program
```

Any C++ standard is backward compatible

C++ is also backward compatible with C, even for very old code, except if it contains C++ keywords (new, template, class, typename, etc.)

We can potentially compiles a pure C program in C++20

Compiler	C++11		C++14		C++17		C++20	
	Core	Library	Core	Library	Core	Library	Core	Library
g++	4.8.1	5.1	5.1	5.1	7.1	9.0	11+	11+
clang++	3.3	3.3	3.4	3.5	5.0	11.0	12+	14+
MSVC	19.0	19.0	19.10	19.0	19.15	19.15	19.29+	19.29



Hello World

## C code with printf:

```
#include <stdio.h>
int main() {
    printf("Hello World!\n");
}
```

printf
prints on standard output

### C++ code with streams:

```
#include <iostream>
int main() {
    std::cout << "Hello World!\n";
}</pre>
```

cout
represent the standard output stream

The previous example can be written with the global std namespace:

```
#include <iostream>
using namespace std;

int main() {
    cout << "Hello World!\n";
}</pre>
```

**Note**: For sake of space and for improving the readability, we intentionally omit the std namespace in the next slides

C:

**std::cout** is an example of *output* stream. Data is redirected to a destination, in this case the destination is the standard output

```
#include <stdio.h>
         int main() {
             int a = 4:
             double b = 3.0:
             char c[] = "hello";
             printf("%d %f %s\n", a, b, c);
C++:
         #include <iostream>
         int main() {
             int a = 4;
             double b = 3.0:
             char c[] = "hello";
             std::cout << a << " " << b << " " << c << "\n";
```

- Type-safe: The type of object provided to the I/O stream is known <u>statically</u> by the compiler. In contrast, <u>printf</u> uses % fields to figure out the types dynamically
- Less error prone: With IO Stream, there are no redundant % tokens that have to be consistent with the actual objects pass to I/O stream. Removing redundancy removes a class of errors
- Extensible: The C++ IO Stream mechanism allows new user-defined types to be pass to I/O stream without breaking existing code
- Comparable performance: If used correctly may be faster than C I/O (printf, scanf, etc.).

Forget the number of parameters:

```
printf("long phrase %d long phrase %d", 3);
```

Use the wrong format:

```
int a = 3;
...many lines of code...
printf(" %f", a);
```

• The %c conversion specifier does not automatically skip any leading white space:

```
scanf("%d", &var1);
scanf(" %c", &var2);
```

**Fundamental Types** 

**Overview** 

# **Arithmetic Types - Integral**

Native Type	Bytes	Range	Fixed width types <pre><cstdint></cstdint></pre>
bool	1	true, false	
char <sup>†</sup>	1	implementation defined	
signed char	1	-128 to 127	int8_t
unsigned char	1	0 to 255	uint8_t
short	2	$-2^{15}$ to $2^{15}$ -1	int16_t
unsigned short	2	0 to 2 <sup>16</sup> -1	uint16_t
int	4	$-2^{31}$ to $2^{31}$ -1	int32_t
unsigned int	4	0 to $2^{32}$ -1	uint32_t
long int	4/8		$int32_t/int64_t$
long unsigned int	4/8*		uint32_t/uint64_t
long long int	8	$-2^{63}$ to $2^{63}$ -1	int64_t
long long unsigned int	8	0 to 2 <sup>64</sup> -1	uint64_t

 $<sup>^*</sup>$  4 bytes on Windows64 systems,  $^\dagger$  signed/unsigned, two-complement from C++11

# **Arithmetic Types - Floating-Point**

Native Type	IEEE	Bytes	Range	Fixed width types C++23 <stdfloat></stdfloat>
(bfloat16)	N	2	$\pm 1.18 \times 10^{-38}$ to $\pm 3.4 \times 10^{+38}$	std::bfloat16_t
(float16)	Υ	2	0.00006 to 65,536	std::float16_t
float	Υ	4	$\pm 1.18 \times 10^{-38}$ to $\pm 3.4 \times 10^{+38}$	std::float32_t
double	Υ	8	$\pm 2.23 \times 10^{-308}$ to $\pm 1.8 \times 10^{+308}$	std::float64_t

# **Arithmetic Types - Short Name**

Signed Type	short name		
signed char	/		
signed short int	short		
signed int	int		
signed long int	long		
signed long long int	long long		

Unsigned Type	short name
unsigned char	/
unsigned short int	unsigned short
unsigned int	unsigned
unsigned long int	unsigned long
unsigned long long in	t unsigned long long

# **Arithmetic Types - Suffix (Literals)**

Туре	SUFFIX	Example	Notes
int	/	2	
unsigned int	u, U	3u	
long int	1, L	8L	
long unsigned	ul, UL	2ul	
long long int	11, LL	411	
long long unsigned int	ull, ULL	7ULL	
float	f, F	3.0f	only decimal numbers
double		3.0	only decimal numbers

C++23 Type	SUFFIX	Example	Notes
std::bfloat16_t	bf16, BF16	3.0bf16	only decimal numbers
std::float16_t	f16, F16	3.0f16	only decimal numbers
std::float32_t	f32, F32	3.0f32	only decimal numbers
std::float64_t	f64, F64	3.0f64	only decimal numbers
std::float128_t	f128, F128	3.0f128	only decimal numbers

# **Arithmetic Types - Prefix (Literals)**

Representation	PREFIX	Example
Binary C++14	0ъ	0b010101
Octal	0	0308
Hexadecimal	Ox or OX	0xFFA010

C++14 also allows *digit separators* for improving the readability 1'000'000

## **Other Arithmetic Types**

- C++ also provides long double (no IEEE-754) of size 8/12/16 bytes depending on the implementation
- Reduced precision floating-point supports before C++23:
  - Some compilers provide support for half (16-bit floating-point) (GCC for ARM: \_\_fp16 ,
     LLVM compiler: half )
  - Some modern CPUs and GPUs provide half instructions
  - Software support: OpenGL, Photoshop, Lightroom, half.sourceforge.net
- C++ does not provide 128-bit integers even if some architectures support it.
   clang and gcc allow 128-bit integers as compiler extension (\_\_int128)

### void Type

void is an incomplete type (not defined) without a value

- void indicates also a function with no return type or no parameterse.g. void f(), f(void)
- In C sizeof(void) == 1 (GCC), while in C++ sizeof(void) does not compile!!

```
int main() {
// sizeof(void); // compile error
}
```

### nullptr Keyword

C++11 introduces the new keyword  $\verb"nullptr"$  to represent a null pointer ( 0x0 ) and replacing the  $\verb"NULL"$  macro

Remember: nullptr is not a pointer, but an object of type nullptr\_t → safer

# **Fundamental Types Summary**

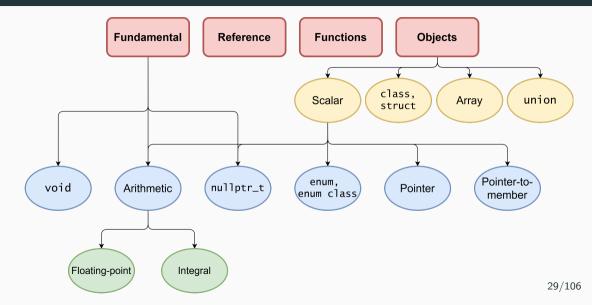
The fundamental types, also called primitive or built-in, are organized into three main categories:

- Integers
- Floating-points
- void, nullptr

# Any other entity in C++ is

- an alias to the correct type depending to the context and the architectures
- a composition of builtin types: struct/class, array, union

# C++ Types Summary



# Conversion Rules

### **Conversion Rules**

## Implicit type conversion rules, applied in order, before any operation:

 $\otimes$ : any operation (\*, +, /, -, %, etc.)

### (A) Floating point promotion

 ${\tt floating\_type} \, \otimes \, {\tt integer\_type} \, \to \, {\tt floating\_type}$ 

### (B) Implicit integer promotion

 $small\_integral\_type := any signed/unsigned integral type smaller than int small\_integral\_type <math>\otimes$  small\\_integral\\_type  $\rightarrow$  int

# (C) Size promotion

 ${\tt small\_type} \otimes {\tt large\_type} \to {\tt large\_type}$ 

# (D) Sign promotion

 ${ t signed\_type} \otimes { t unsigned\_type} 
ightarrow { t unsigned\_type}$ 

# **Examples and Common Errors**

```
float f = 1.0f;
unsigned u = 2;
int i = 3;
short s = 4;
uint8_t c = 5; // unsigned char
f * u; // float × unsigned \rightarrow float: 2.0f
s * c: // short \times unsigned char \rightarrow int: 20
u * i; // unsigned \times int \rightarrow unsigned: 6u
+c; // unsigned char \rightarrow int: 5
```

### Integers are not floating points!

```
int b = 7;
float a = b / 2;  // a = 3 not 3.5!!
int c = b / 2.0;  // again c = 3 not 3.5!!
```

# **Implicit Promotion**

Integral data types smaller than 32-bit are *implicitly* promoted to <code>int</code>, independently if they are *signed* or *unsigned* 

• Unary +, -,  $\sim$  and Binary +, -, &, etc. promotion:

auto Declaration

C++11 The auto keyword specifies that the type of the variable will be automatically deduced by the compiler (from its initializer)

```
auto a = 1 + 2;  // 1 is int, 2 is int, 1 + 2 is int!
// -> 'a' is "int"
auto b = 1 + 2.0; // 1 is int, 2.0 is double. 1 + 2.0 is double
// -> 'b' is "double"
```

```
auto can be very useful for maintainability and for hiding complex type definitions
for (auto i = k; i < size; i++)
...</pre>
```

On the other hand, it may make the code less readable if excessively used because of type hiding

```
Example: auto x = 0; in general makes no sense (x is int)
```

In C++11/C++14, auto (as well as decltype) can be used to define function output types

```
auto g(int x) \rightarrow int { return x * 2; } // C++11
// "-> int" is the deduction type
// a better way to express it is:
auto g2(int x) \rightarrow decltype(x * 2) { return x * 2; } // C++11
auto h(int x) { return x * 2; } // C++14
int x = g(3); // C++11
```

In C++20, auto can be also used to define function input

```
void f(auto x) {}
// equivalent to templates but less expensive at compile-time

//-----
f(3); // 'x' is int
f(3.0); // 'x' is double
```

# **C++** Operators

Precedence	Operator	Description	Associativity
1	a++ a	Suffix/postfix increment and decrement	Left-to-right
2	+a -a ++aa ! $\sim$	Prefix increment/decrement, Logical/Bitwise Not	Right-to-left
3	a*b a/b a%b	Multiplication, division, and remainder	Left-to-right
4	a+b a-b	Addition and subtraction	Left-to-right
5	« »	Bitwise left shift and right shift	Left-to-right
6	< <= > >=	Relational operators	Left-to-right
7	== !=	Equality operators	Left-to-right
8	&	Bitwise AND	Left-to-right
9	^	Bitwise XOR	Left-to-right
10		Bitwise OR	Left-to-right
11	&&	Logical AND	Left-to-right
12		Logical OR	Left-to-right
13	+= -= *= /= %= <<= >>= &= ^=  =	Compound	Right-to-left 36

- Unary operators have <u>higher</u> precedence than binary operators
- Standard math operators (+, \*, etc.) have <u>higher</u> precedence than comparison, bitwise, and logic operators
- Comparison operators have higher precedence than bitwise and logic operators
- **Bitwise** operators have <u>higher</u> precedence than **logic** operators
- Compound assignment operators += , -= , \*= , /= , %= , ^= , != , &= , >>= , <<= have lower priority
- The comma operator has the <u>lowest</u> precedence (see next slides)

# Examples:

Important: sometimes parenthesis can make expression worldly... but they can help!

# **Prefix/Postfix Increment Semantic**

# Prefix Increment/Decrement ++i, --i

- (1) Update the value
- (2) Return the new (updated) value

# Postfix Increment/Decrement i++, i--

- (1) Save the old value (temporary)
- (2) Update the value
- (3) Return the old (original) value

Prefix/Postfix increment/decrement semantic applies not only to built-in types but also to objects

# Operation Ordering Undefined Behavior \*

Expressions with undefined (implementation-defined) behavior:

```
int i = 0;
i = ++i + 2; // until C++11: undefined behavior
                 // since C++11: i = 3
i = 0;
i = i+++2: // until C++17: undefined behavior
                 // since C++17: i = 3
f(i = 2, i = 1); // until C++17: undefined behavior
                 // since C++17: i = 2
i = 0:
a[i] = i++; // until C++17: undefined behavior
                 // since C++17: a[1] = 1
f(++i, ++i); // undefined behavior
i = ++i + i++; // undefined behavior
```

# Assignment, Compound, and Comma Operators

**Assignment** and **compound assignment** operators have *right-to-left associativity* and their expressions return the assigned value

The **comma** operator has *left-to-right associativity*. It evaluates the left expression, discards its result, and returns the right expression

```
int a = 5, b = 7;
int x = (3, 4); // discards 3, then x=4
int y = 0;
int z;
z = y, x; // z=y (0), then returns x (4)
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```

# **Spaceship Operator <=>**

C++20 provides the **three-way comparison operator** <=> , also called *spaceship operator*, which allows comparing two objects in a similar way of strcmp. The operator returns an object that can be directly compared with a positive, 0, or negative integer value

```
(3 <=> 5) == 0; // false

('a' <=> 'a') == 0; // true

(3 <=> 5) < 0; // true

(7 <=> 5) < 0; // false
```

The semantic of the *spaceship operator* can be extended to any object (see next lectures) and can greatly simplify the comparison operators overloading

# **Safe Comparison Operators**

C++20 introduces a set of functions <utility> to safely compare integers of different types (signed, unsigned)

```
bool cmp_equal(T1 a, T2 b)
bool cmp_not_equal(T1 a, T2 b)
bool cmp_less(T1 a, T2 b)
bool cmp_greater(T1 a, T2 b)
bool cmp_less_equal(T1 a, T2 b)
bool cmp_greater_equal(T1 a, T2 b)
```

#### example:

```
#include <utility>
unsigned a = 4;
int b = -3;
bool v1 = (a > b);  // false!!!, see next slides
bool v2 = cmp_greater(a, b); // true 43/106
```

# Integral Data Types

# A Firmware Bug

"Certain SSDs have a firmware bug causing them to irrecoverably fail after exactly 32,768 hours of operation. SSDs that were put into service at the same time will fail simultaneously, so RAID won't help"

HPE SAS Solid State Drives - Critical Firmware Upgrade



# **Overflow Implementations**



The latest news from Google AI

Extra, Extra - Read All About It: Nearly All Binary Searches and Mergesorts are Broken

Friday, June 2, 2006

Posted by Joshua Bloch, Software Engineer

Note: Computing the average in the right way is not trivial, see On finding the average of two unsigned integers without overflow

related operations: ceiling division, rounding division

# Potentially Catastrophic Failure



 $51 \; days = 51 \cdot 24 \cdot 60 \cdot 60 \cdot 1000 = 4\,406\,400\,000 \; \textit{ms}$ 

Boeing 787s must be turned off and on every 51 days to prevent 'misleading data' being shown to pilots

# C++ Data Model

**LP32** Windows 16-bit APIs (no more used)

ILP32 Windows 32-bit APIs, Unix 32-bit (Linux, Mac OS)

**LLP64** Windows 64-bit APIs

**LP64** Linux 64-bit APIs

Model/Bits	short	int	long	long long	pointer
ILP32	16	32	32	64	32
LLP64	16	32	32	64	64
LP64	16	32	64	64	64

char is always 1 byte

#### $int*_t < cstdint>$

C++ provides fixed width integer types.

They have the same size on any architecture:

int8\_t, uint8\_t
int16\_t, uint16\_t
int32\_t, uint32\_t
int64\_t, uint64\_t

Good practice: Prefer fixed-width integers instead of native types. int and unsigned can be directly used as they are widely accepted by C++ data models

int\*\_t types are not "real" types, they are merely typedefs to appropriate
fundamental types

C++ standard does not ensure a one-to-one mapping:

- There are five distinct fundamental types (char, short, int, long, long long)
- There are four int\*\_t overloads (int8\_t, int16\_t, int32\_t, and int64\_t)

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 $\underline{\text{Warning: I/O Stream interprets uint8\_t and int8\_t as char and not as integer values}$ 

```
int8_t var;
cin >> var; // read '2'
cout << var; // print '2'
int a = var * 2;
cout << a; // print '100' !!</pre>
```

# size\_t and ptrdiff\_t

#### size\_t ptrdiff\_t <cstddef>

size\_t and ptrdiff\_t are aliases data types capable of storing the biggest
representable value on the current architecture

- size\_t is an unsigned integer type (of at least 16-bit)
- ptrdiff\_t is the signed version of size\_t commonly used for computing pointer differences
- size\_t is commonly used to represent size measures
- size\_t / ptrdiff\_t are 4 bytes on 32-bit architectures, and 8 bytes on 64-bit architectures
- C++23 adds uz / UZ literal for size\_t
- C++23 adds z / Z literal for ptrdiff\_t

**Signed** and **unsigned** integers use the same hardware for their operations, but they have very <u>different semantic</u>:

## signed integers

- lacktriangle Represent positive, negative, and zero values ( $\mathbb{Z}$ )
- More negative values  $(2^{31} 1)$  than positive  $(2^{31} 2)$
- Overflow/underflow is <u>undefined behavior</u>

Possible behavior:

```
overflow: (2^{31} - 1) + 1 \rightarrow min
underflow: -2^{31} - 1 \rightarrow max
```

- Bit-wise operations are implementation-defined
- Commutative, reflexive, not associative (overflow/underflow)

## unsigned integers

- Represent only *non-negative* values (N)
- Overflow/underflow is well-defined (modulo 2<sup>32</sup>)
- Discontinuity in 0,  $2^{32} 1$
- Bit-wise operations are <u>well-defined</u>
- Commutative, reflexive, associative

Google Style Guide

Because of historical accident, the C++ standard also uses unsigned integers to represent the size of containers - many members of the standards body believe this to be a mistake, but it is effectively impossible to fix at this point

```
Solution: use int64_t
```

```
max value: 2^{63} - 1 = 9,223,372,036,854,775,807 or 9 quintillion (9 billion of billion), about 292 years (nanoseconds), 9 million terabytes
```

# **Arithmetic Type Limits**

Query properties of arithmetic types in C++11:

\* this syntax will be explained in the next lectures

#### **Promotion and Truncation**

**Promotion** to a larger type keeps the sign

```
int16_t x = -1;
int     y = x; // sign extend
cout << y;     // print -1</pre>
```

**Truncation** to a smaller type is implemented as a modulo operation with respect to the number of bits of the smaller type

```
unsigned a = 10; // array is small
int    b = -1;
array[10ull + a * b] = 0; // ?

Segmentation fault!
```

```
int f(int a, unsigned b, int* array) { // array is small
   if (a > b)
      return array[a - b]; // ?
   return 0;
}

Segmentation fault for "a"; 0!
```

```
// v.size() return unsigned
for (size_t i = 0; i < v.size() - 1; i++)
    array[i] = 3; // ?</pre>
```

 $\mathfrak{Z}$  Segmentation fault for v.size() = 0!

#### Easy case:

# What about the following code?

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### More negative values than positive

#### A pratical example:

Initialize an integer with a value larger then its range is undefined behavior

```
int z = 3000000000; // undefined behavior!!
```

Bitwise operations on signed integer types is undefined behavior

```
int y = 1 << 12;  // undefined behavior!!</pre>
```

Shift larger than #bits of the data type is undefined behavior even for unsigned

```
unsigned y = 1u << 32u; // undefined behavior!!</pre>
```

Undefined behavior in implicit conversion

```
uint16_t a = 65535; // OxFFFF
uint16_t b = 65535; // OxFFFF expected: 4'294'836'225
cout << (a * b); // print '-131071' undefined behavior!! (int overflow)</pre>
```

#### Even worse example:

```
#include <iostream>
int main() {
    for (int i = 0; i < 4; ++i)
        std::cout << i * 1000000000 << std::endl:
// with optimizations, it is an infinite loop
// --> 1000000000 * i > INT MAX
// undefined behavior!!
// the compiler translates the multiplication constant into an addition
```

# Is the following loop safe?

- What happens if size is equal to INT\_MAX ?
- How to make the previous loop safe?
- i >= 0 && i < size is not the solution because of undefined behavior of signed overflow
- Can we generalize the solution when the increment is i += step?

# Overflow / Underflow

Detecting overflow/underflow for unsigned integral types is **not trivial** 

```
// some examples
bool is_add_overflow(unsigned a, unsigned b) {
   return (a + b) < a || (a + b) < b;
}
bool is_mul_overflow(unsigned a, unsigned b) {
   unsigned x = a * b;
   return a != 0 && (x / a) != b;
}</pre>
```

Overflow/underflow for <u>signed integral</u> types is **not defined** !! *Undefined behavior* must be checked before performing the operation

# \_\_\_\_

Floating-point Types

and Arithmetic

# **IEEE Floating-Point Standard**

**IEEE754** is the technical standard for floating-point arithmetic

The standard defines the binary format, operations behavior, rounding rules, exception handling, etc.

- First Release: 1985
- Second Release: 2008. Add 16-bit floating point
- Third Release: 2019. Specify min/max behavior

see The IEEE Standard 754: One for the History Books

IEEE764 technical document:

754-2019 - IEEE Standard for Floating-Point Arithmetic

In general, C/C++ adopts IEEE754 floating-point standard:

en.cppreference.com/w/cpp/types/numeric\_limits/is\_iec559

# 32/64-bit Floating-Point

• IEEE764 Single precision (32-bit) float

Sign 1-bit

Exponent (or base)
8-bit

Mantissa (or significant) 23-bit

■ IEEE764 Double precision (64-bit) double

Sign

1-bit

Exponent (or base)
11-bit

Mantissa (or significant) 52-bit

# 16-bit Floating-Point (Standardized in C++23)

■ IEEE754 16-bit Floating-point (std::binary16)  $\rightarrow$ , GPU, Arm7

Sign Exponent Mantissa
1-bit 5-bit 10-bit

Google 16-bit Floating-point (std::bfloat16) →, TPU, GPU, Arm8

Sign Exponent Mantissa
1-bit 8-bit 7-bit

# 8-bit Floating-Point (Non-Standardized in C++/IEEE)

■ E4M3

Sign Exponent Mantissa
1-bit 4-bit 3-bit

■ E5M2

Sign Exponent Mantissa
1-bit 5-bit 2-bit

- Floating Point Formats for Machine Learning, *IEEE draft*
- FP8 Formats for Deep Learning, Intel, Nvidia, Arm

# Other Real Value Representations (Non-standardized in C++/IEEE)

- TensorFloat-32 (TF32) Specialized floating-point format for deep learning applications
- Posit (John Gustafson, 2017), also called unum III (universal number), represents floating-point values with variable-width of exponent and mantissa.
   It is implemented in experimental platforms
- **Fixed-point** representation has a fixed number of digits after the radix point (decimal point). The gaps between adjacent numbers are always equal. The range of their values is significantly limited compared to floating-point numbers. It is widely used on embedded systems
- NVIDIA Hopper Architecture In-Depth
- Beating Floating Point at its Own Game: Posit Arithmetic
- Comparing posit and IEEE-754 hardware cost

# Floating-point number:

- Radix (or base): β
- Precision (or digits): p
- Exponent (magnitude): e
- Mantissa: M

$$n = \underbrace{M}_{p} \times \beta^{e} \rightarrow IEEE754: 1.M \times 2^{e}$$

```
float f1 = 1.3f; // 1.3

float f2 = 1.1e2f; // 1.1 · 10^2

float f3 = 3.7E4f; // 3.7 · 10^4

float f4 = .3f; // 0.3

double d1 = 1.3; // without "f"

double d2 = 5E3; // 5 \cdot 10^3
```

# **Exponent Bias**

In IEEE754 floating point numbers, the exponent value is offset from the actual value by the **exponent bias** 

- The exponent is stored as an unsigned value suitable for comparison
- Floating point values are lexicographic ordered
- For a single-precision number, the exponent is stored in the range [1,254] (0 and 255 have special meanings), and is <u>biased</u> by subtracting 127 to get an exponent value in the range [-126, +127]

0 10000111  
+ 
$$2^{(135-127)} = 2^8$$

$$\begin{array}{c} 1100000000000000000000000\\ \frac{1}{2^1} + \frac{1}{2^2} = 0.5 + 0.25 = 0.75 \stackrel{\textit{normal}}{\rightarrow} 1.75 \end{array}$$

$$+1.75 * 2^8 = 448.0$$

### Normal number

A **normal** number is a floating point value that can be represented with *at least one* bit set in the exponent or the mantissa has all 0s

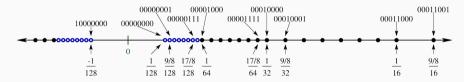
### **Denormal** number

**Denormal** (or subnormal) numbers fill the underflow gap around zero in floating-point arithmetic. Any non-zero number with magnitude smaller than the smallest normal number is denormal

A **denormal** number is a floating point value that can be represented with *all 0s in the exponent*, but the mantissa is non-zero

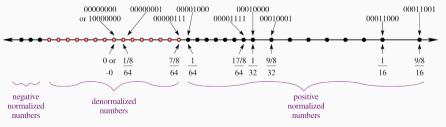
Why denormal numbers make sense:

(↓ normal numbers)



The problem: distance values from zero

(↓ denormal numbers)



# Infinity

In the IEEE754 standard, inf (infinity value) is a numeric data type value that exceeds the maximum (or minimum) representable value

# Operations generating inf:

- $\pm \infty \cdot \pm \infty$
- $\pm \infty \cdot \pm$ finite\_value
- finite\_value op finite\_value > max\_value
- non-NaN  $/\pm 0$

There is a single representation for +inf and -inf

Comparison: (inf == finite\_value) 
$$\rightarrow$$
 false  $(\pm \inf$  ==  $\pm \inf$ )  $\rightarrow$  true

```
cout << 0 / 0;  // undefined behavior</pre>
cout << 0.0 / 0.0; // print "nan"
cout << 5.0 / 0.0; // print "inf"
cout << -5.0 / 0.0; // print "-inf"</pre>
auto inf = std::numeric_limits<float>::infinity;
cout << (-0.0 == 0.0);
                                         // true. 0 == 0
cout << ((5.0f / inf) == ((-5.0f / inf)); // true, 0 == 0
cout << (10e40f) == (10e40f + 9999999.0f); // true, inf == inf
cout << (10e40) == (10e40f + 9999999.0f); // false, 10e40 != inf
```

# Not a Number (NaN)

# NaN

In the IEEE754 standard, NaN (not a number) is a numeric data type value representing an undefined or unrepresentable value

# Operations generating NaN:

- Operations with a NaN as at least one operand
- $\bullet$   $\pm\infty\cdot\mp\infty$  ,  $0\cdot\infty$
- $0/0, \infty/\infty$
- $\sqrt{x}$ ,  $\log(x)$  for x < 0
- $-\sin^{-1}(x), \cos^{-1}(x) \text{ for } x < -1 \text{ or } x > 1$

There are many representations for NaN (e.g.  $2^{24}-2$  for float)

Comparison: (NaN == x) 
$$\rightarrow$$
 false, for every x (NaN == NaN)  $\rightarrow$  false

# **Machine Epsilon**

### Machine epsilon

**Machine epsilon**  $\varepsilon$  (or *machine accuracy*) is defined to be the smallest number that can be added to 1.0 to give a number other than one

IEEE 754 Single precision :  $\varepsilon = 2^{-23} \approx 1.19209*10^{-7}$ 

IEEE 754 Double precision :  $\varepsilon = 2^{-52} \approx 2.22045*10^{-16}$ 

# Units at the Last Place (ULP)

### ULP

Units at the Last Place is the gap between consecutive floating-point numbers

$$ULP(p, e) = \beta^{e-(p-1)} \to 2^{e-(p-1)}$$

# Example:

$$\beta = 10, \ p = 3$$
  
 $\pi = 3.1415926... \rightarrow x = 3.14 \times 10^{0}$   
 $ULP(3,0) = 10^{-2} = 0.01$ 

### Relation with $\varepsilon$ :

- $\varepsilon = ULP(p,0)$
- $ULP_x = \varepsilon * \beta^{e(x)}$

# Floating-Point Representation of a Real Number

The machine <u>floating-point representation</u> **fl(**x**)** of a *real number* x is expressed as  $fl(x) = x(1 + \delta)$ , where  $\delta$  is a small constant

The approximation of a *real number* x has the following properties:

**Absolute Error**: 
$$|fl(x) - x| \le \frac{1}{2} \cdot ULP_x$$

**Relative Error**: 
$$\left| \frac{fl(x) - x}{x} \right| \leq \frac{1}{2} \cdot \varepsilon$$

# Floating-point - Cheatsheet

- NaN (mantissa  $\neq$  0) 11111111 • ± infinity 11111111 Lowest/Largest ( $\pm 3.40282 * 10^{+38}$ ) 11111110 1111111111111111111111111 Minimum (normal) ( $\pm 1.17549 * 10^{-38}$ ) 00000001 Denormal number ( $< 2^{-126}$ )(minimum:  $1.4 * 10^{-45}$ ) 00000000
  - **■** ±0

- \*
- 00000000

	E4M3	E5M2	half
Exponent	4 [0*-14] (no inf)	5-bit [0*-30]	
Bias	7	15	
Mantissa	4-bit	2-bit	10-bit
Largest $(\pm)$	1.75 * 2 <sup>8</sup> 448	$1.75 * 2^{15}$ $57,344$	2 <sup>16</sup> 65,536
Smallest $(\pm)$	$2^{-6}$ 0.015625	$2^{-14}$ 0.00006	
Smallest (denormal*)	$2^{-9}$ 0.001953125	$2^{-16}$ $1.5258 * 10^{-5}$	$2^{-24} \\ 6.0 \cdot 10^{-8}$
Epsilon	2 <sup>-4</sup> 0.0625	$2^{-2}$ 0.25	$2^{-10}$ 0.00098

	bfloat16	float	double
Exponent	8-bit [0*-254]		11-bit [0*-2046]
Bias	127		1023
Mantissa	7-bit	23-bit	52-bit
$Largest\ (\pm)$	$2^{128} \\ 3.4 \cdot 10^{38}$		$2^{1024} \\ 1.8 \cdot 10^{308}$
Smallest $(\pm)$	$2^{-126}$ $1.2 \cdot 10^{-38}$		$2^{-1022}$ $2.2 \cdot 10^{-308}$
Smallest (denormal*)	/	$2^{-149}$ $1.4 \cdot 10^{-45}$	$2^{-1074}$ $4.9 \cdot 10^{-324}$
Epsilon	$2^{-7}$ 0.0078	$2^{-23} \\ 1.2 \cdot 10^{-7}$	$2^{-52} \\ 2.2 \cdot 10^{-16}$

# Floating-point - Limits

```
#include <liimits>
// T: float or double
std::numeric limits<T>::max(); // largest value
std::numeric_limits<T>::lowest(); // lowest value (C++11)
std::numeric limits<T>::min(): // smallest value
std::numeric limits<T>::denorm_min() // smallest (denormal) value
std::numeric_limits<T>::epsilon(); // epsilon value
std::numeric limits<T>::infinity() // infinity
std::numeric_limits<T>::quiet_NaN() // NaN
```

# Floating-point - Useful Functions

```
#include <cmath> // C++11
bool std::isnan(T value) // check if value is NaN
bool std::isinf(T value) // check if value is \pm infinity
bool std::isfinite(T value) // check if value is not NaN
                            // and not \pm infinity
bool std::isnormal(T value): // check if value is Normal
    std::ldexp(T x, p) // exponent shift x * 2^p
Τ
    std::ilogb(T value) // extracts the exponent of value
int
```

## Floating-point operations are written

- ⊕ addition
- ⊖ subtraction
- ⊗ multiplication
- ⊘ division

$$\odot \in \{\oplus,\ominus,\otimes,\oslash\}$$

 $op \in \{+, -, *, \setminus\}$  denotes exact precision operations

- (P1) In general,  $a ext{ op } b \neq a ext{ } \odot b$
- (P2) Not Reflexive  $a \neq a$ 
  - Reflexive without NaN
- (P3) Not Commutative  $a \odot b \neq b \odot a$ 
  - Vol. Commutative  $a \odot b \neq b \odot a$
  - Commutative without NaN (NaN  $\neq$  NaN)
  - (P5) In general, **Not Distributive**  $(a \oplus b) \otimes c \neq (a \cdot c) \oplus (b \cdot c)$
- (7.5) in general, rest bistributive  $(a \oplus b) \otimes c \neq (a \oplus c) \oplus (b \oplus c)$

(P4) In general, Not Associative  $(a \odot b) \odot c \neq a \odot (b \odot c)$ 

- (P6) Identity on operations is not ensured  $(k \oslash a) \otimes a \neq k$
- (P7) No overflow/underflow Floating-point has <u>"saturation"</u> values inf, -inf

   Adding (or subtracting) can "saturate" before inf, -inf
- 87/106

C++11 allows determining if a floating-point exceptional condition has occurred by using floating-point exception facilities provided in <cfenv>

```
#include <cfenv>
// MACRO
FE DIVBYZERO // division by zero
FE_INEXACT // rounding error
FE_INVALID // invalid operation, i.e. NaN
FE_OVERFLOW // overflow (reach saturation value +inf)
FE_UNDERFLOW // underflow (reach saturation value -inf)
FE ALL EXCEPT // all exceptions
// functions
std::feclearexcept(FE ALL EXCEPT); // clear exception status
std::fetestexcept(<macro>);  // returns a value != 0 if an
                                  // exception has been detected
```

```
#include <cfenv> // floating point exceptions
#include <iostream>
#pragma STDC FENV ACCESS ON // tell the compiler to manipulate the floating-point
                          // environment (not supported by all compilers)
                          // qcc: yes, clanq: no
int main() {
   std::feclearexcept(FE_ALL_EXCEPT); // clear
   auto x = 1.0 / 0.0; // all compilers
   std::cout << (bool) std::fetestexcept(FE_DIVBYZERO); // print true
   std::feclearexcept(FE_ALL_EXCEPT); // clear
   auto x2 = 0.0 / 0.0; // all compilers
   std::cout << (bool) std::fetestexcept(FE_INVALID); // print true
   std::feclearexcept(FE_ALL_EXCEPT); // clear
   auto x4 = 1e38f * 10; // acc: ok
   std::cout << std::fetestexcept(FE OVERFLOW); // print true</pre>
```

Floating-point Issues



**Ariene 5:** data conversion from 64-bit floating point value to 16-bit signed integer  $\rightarrow$  \$137 million



Patriot Missile: small chopping error at each operation, 100 hours activity  $\rightarrow$  28 deaths

## Integer type is more accurate than floating type for large numbers

### float numbers are different from double numbers

```
cout << (1.1 != 1.1f); // print true !!!
```

# The floating point precision is finite!

# Floating point arithmetic is not associative

```
cout << 0.1 + (0.2 + 0.3) == (0.1 + 0.2) + 0.3; // print false
```

IEEE764 Floating-point computation guarantees to produce **deterministic** output, namely the exact bitwise value for each run, <u>if and only if</u> the **order of the operations** is always the same

ightarrow same result on any machine and for all runs

"Using a double-precision floating-point value, we can represent easily the number of atoms in the universe.

If your software ever produces a number so large that it will not fit in a double-precision floating-point value, chances are good that you have a bug"

Daniel Lemire, Prof. at the University of Quebec

"NASA uses just 15 digits of  $\pi$  to calculate interplanetary travel. With 40 digits, you could calculate the circumference of a circle the size of the visible universe with an accuracy that would fall by less than the diameter of a single hydrogen atom"

Latest in space, Twitter

# Floating-point Algorithms

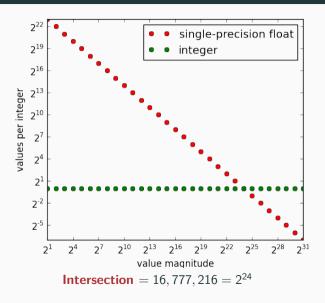
- addition algorithm (simplified):
- (1) Compare the exponents of the two numbers. Shift the smaller number to the right until its exponent would match the larger exponent
- (2) Add the mantissa
- (3) Normalize the sum if needed (shift right/left the exponent by 1)
- multiplication algorithm (simplified):
- (1) Multiplication of mantissas. The number of bits of the result is twice the size of the operands (46 + 2 bits, with + 2 for implicit normalization)
- (2) Normalize the product if needed (shift right/left the exponent by 1)
- (3) Addition of the exponents
- fused multiply-add (fma):
  - Recent architectures (also GPUs) provide fma to compute addition and multiplication in a single instruction (performed by the compiler in most cases)
    - The rounding error of fma(x, y, z) is less than  $(x \otimes y) \oplus z$

## **Catastrophic Cancellation**

**Catastrophic cancellation** (or *loss of significance*) refers to loss of relevant information in a floating-point computation that cannot be revered

### Two cases:

- (C1)  $\mathbf{a} \pm \mathbf{b}$ , where  $\mathbf{a} \gg \mathbf{b}$  or  $\mathbf{b} \gg \mathbf{a}$ . The value (or part of the value) of the smaller number is lost
- (C2)  $\mathbf{a} \mathbf{b}$ , where  $\mathbf{a}, \mathbf{b}$  are approximation of exact values and  $\mathbf{a} \approx \mathbf{b}$ , namely a loss of precision in both  $\mathbf{a}$  and  $\mathbf{b}$ .  $\mathbf{a} \mathbf{b}$  cancels most of the relevant part of the result because  $\mathbf{a} \approx \mathbf{b}$ . It implies a *small absolute error* but a *large relative error*



How many iterations performs the following code?

```
while (x > 0)

x = x - y;
```

How many iterations?

```
float: x = 10,000,000 y = 1 -> 10,000,000

float: x = 30,000,000 y = 1 -> does not terminate

float: x = 200,000 y = 0.001 -> does not terminate

bfloat: x = 256 y = 1 -> does not terminate !!
```

# Floating-point increment

```
float x = 0.0f;
for (int i = 0; i < 20000000; i++)
x += 1.0f;</pre>
```

What is the value of x at the end of the loop?

# Ceiling division $\left\lceil \frac{a}{b} \right\rceil$

```
// std::ceil((float) 101 / 2.0f) -> 50.5f -> 51
float x = std::ceil((float) 20000001 / 2.0f);
```

What is the value of x?

Let's solve a quadratic equation:

$$x_{1,2} = \frac{-b \pm \sqrt{b^2 - 4ac}}{2a}$$

```
x² + 5000x + 0.25

(-5000 + std::sqrt(5000.0f * 5000.0f - 4.0f * 1.0f * 0.25f)) / 2 // x2

(-5000 + std::sqrt(25000000.0f - 1.0f)) / 2 // catastrophic cancellation (C1)
(-5000 + std::sqrt(25000000.0f)) / 2
(-5000 + 5000) / 2 = 0 // catastrophic cancellation (C2)
// correct result: 0.00005!!
```

relative error: 
$$\frac{|0 - 0.00005|}{0.00005} = 100\%$$

### The problem

```
cout << (0.11f + 0.11f < 0.22f); // print true!!
cout << (0.1f + 0.1f > 0.2f); // print true!!
```

### Do not use absolute error margins!!

```
bool areFloatNearlyEqual(float a, float b) {
   if (std::abs(a - b) < epsilon); // epsilon is fixed by the user
       return true;
   return false;
}</pre>
```

### Problems:

- Fixed epsilon "looks small" but it could be too large when the numbers being compared are very small
- If the compared numbers are very large, the epsilon could end up being smaller than the smallest rounding error, so that the comparison always returns false
   100/106

# **Solution:** Use relative error $\frac{|a-b|}{b} < \varepsilon$

```
bool areFloatNearlyEqual(float a, float b) {
   if (std::abs(a - b) / b < epsilon); // epsilon is fixed
      return true;
   return false;
}</pre>
```

### Problems:

- a=0, b=0 The division is evaluated as 0.0/0.0 and the whole if statement is (nan < espilon) which always returns false</li>
- b=0 The division is evaluated as abs(a)/0.0 and the whole if statement is (+inf < espilon) which always returns false</li>
- a and b very small. The result should be true but the division by b may produces wrong results
- It is not commutative. We always divide by b

```
Possible solution: \frac{|a-b|}{\max(|a|,|b|)} < \varepsilon
```

```
bool areFloatNearlyEqual(float a, float b) {
    constexpr float normal min = std::numeric limits<float>::min();
    constexpr float relative error = <user defined>
    if (!std::isfinite(a) || !isfinite(b)) // a = \pm \infty, NaN or b = \pm \infty, NaN
        return false:
    float diff = std::abs(a - b):
    // if "a" and "b" are near to zero, the relative error is less effective
    if (diff <= normal_min) // or also: user_epsilon * normal_min</pre>
        return true:
    float abs_a = std::abs(a);
    float abs_b = std::abs(b);
    return (diff / std::max(abs_a, abs_b)) <= relative_error;</pre>
```

# Minimize Error Propagation - Summary

- Prefer multiplication/division rather than addition/subtraction
- Try to reorganize the computation to keep near numbers with the same scale (e.g. sorting numbers)
- Consider to put a zero very small number (under a threshold). Common application: iterative algorithms
- Scale by a power of two is safe
- Switch to log scale. Multiplication becomes Add, and Division becomes Subtraction
- Use a compensation algorithm like Kahan summation, Dekker's FastTwoSum,
   Rump's AccSum

### References

# Suggest readings:

- What Every Computer Scientist Should Know About Floating-Point Arithmetic
- Do Developers Understand IEEE Floating Point?
- Yet another floating point tutorial
- Unavoidable Errors in Computing

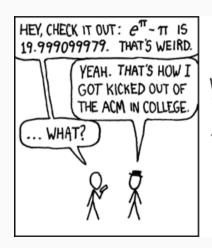
### Floating-point Comparison readings:

- The Floating-Point Guide Comparison
- Comparing Floating Point Numbers, 2012 Edition
- Some comments on approximately equal FP comparisons
- Comparing Floating-Point Numbers Is Tricky

### Floating point tools:

- IEEE754 visualization/converter
- Find and fix floating-point problems

# On Floating-Point



DURING A COMPETITION, I TOLD THE PROGRAMMERS ON OUR TEAM THAT  $e^{\pi}$ - $\pi$  WAS A STANDARD TEST OF FLOATING-POINT HANDLERS -- IT WOULD COME OUT TO 20 UNLESS THEY HAD ROUNDING ERRORS.

