# Modern C++ Programming

# 2. Basic Concepts I Fundamental Types

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# Preparation

#### What Compiler Should I Use?

Most popular compilers:

- Microsoft Visual Code (MSVC) is the compiler offered by Microsoft
- The GNU Compiler Collection (**GCC**) contains the most popular C++ Linux compiler
- Clang is a C++ compiler based on LLVM Infrastructure available for Linux/Windows/Apple (default) platforms

Suggested compiler on Linux for beginner: Clang

- Comparable performance with GCC/MSVC and low memory usage
- Expressive diagnostics (examples and propose corrections)
- Strict C++ compliance. GCC/MSVC compatibility (inverse direction is not ensured)
- Includes very useful tools: memory sanitizer, static code analyzer, automatic formatting, linter, etc.

#### Install the Compiler on Linux

Install the last gcc/g++ (v11) (v12 on Ubuntu 22.04)

\$ sudo add-apt-repository ppa:ubuntu-toolchain-r/test

```
$ sudo apt update
```

\$ sudo apt install gcc-11 g++-11

```
$ gcc-11 --version
```

Install the last clang/clang++ (v15)

- \$ bash -c "\$(wget -0 https://apt.llvm.org/llvm.sh)"
- \$ wget https://apt.llvm.org/llvm.sh
- \$ chmod +x llvm.sh
- \$ sudo ./llvm.sh 15
- \$ clang++ --version

#### Install the Compiler on Windows

#### **Microsoft Visual Studio**

• Direct Installer: Visual Studio Community 2022

#### **Clang on Windows**

Two ways:

- Windows Subsystem for Linux (WSL)
  - Run  $\rightarrow$  optionalfeatures
  - Select Windows Subsystem for Linux, Hyper-V, Virtual Machine Platform
  - Run  $\rightarrow$  ms-windows-store:  $\rightarrow$  Search and install Ubuntu 22.04 LTS
- Clang + MSVC Build Tools
  - Download Build Tools per Visual Studio
  - Install Desktop development with C++

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Popular C++ IDE (Integrated Development Environment):

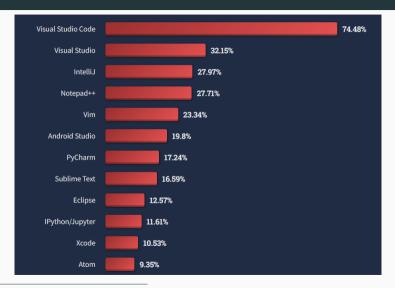
- Microsoft Visual Studio (MSVC) (link). Most popular IDE for Windows
- Clion (link). (free for student). Powerful IDE with a lot of options
- **QT-Creator** (link). Fast (written in C++), simple
- XCode. Default on Mac OS
- Cevelop (Eclipse) (link)

Standalone editors for coding (multi-platform):

- Microsoft Visual Studio Code (VSCode) (link)
- Sublime Text editor (link), written in C++
- Vim. Powerful, but needs expertise

*Not suggested*: Notepad, Gedit, and other similar editors (lack of support for programming)

#### What Editor/IDE Compiler Should I Use?



StackOverflow Developer Survey 2022

```
Compile C++11, C++14, C++17, C++20 programs:
```

g++	-std=c++11	<program.cpp></program.cpp>	-0	program
g++	-std=c++14	<program.cpp></program.cpp>	-0	program
g++	-std=c++17	<program.cpp></program.cpp>	-0	program
g++	-std=c++20	<program.cpp></program.cpp>	-0	program

#### Any C++ standard is backward compatible

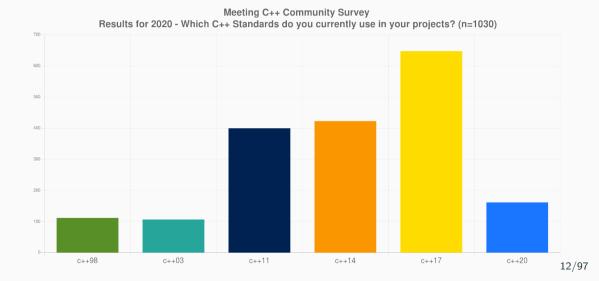
C++ is also backward compatible with C, even for very old code, except if it contains C++ keywords (new, template, class, typename, etc.)

We can potentially compiles a pure C program in  $C{+}{+}20$ 

Compiler	C++11		C++14		C++17		C++20	
	Core	Library	Core	Library	Core	Library	Core	Library
g++	4.8.1	5.1	5.1	5.1	7.1	9.0	11+	11+
clang++	3.3	3.3	3.4	3.5	5.0	11.0	12+	14+
MSVC	19.0	19.0	19.10	19.0	19.15	19.15	19.29+	19.29

en.cppreference.com/w/cpp/compiler\_support

#### C++ Standard



# **Hello World**

```
C code with printf:
                                       C++ code with streams :
#include <stdio.h>
                                        #include <iostream>
int main() {
                                        int main() {
    printf("Hello World!\n");
                                            std::cout << "Hello World!\n";</pre>
}
                                       }
printf
                                        cout
prints on standard output
                                       represent the standard output stream
```

The previous example can be written with the global std namespace:

```
#include <iostream>
using namespace std;
int main() {
    cout << "Hello World!\n";
}</pre>
```

**Note**: For sake of space and for improving the readability, we intentionally omit the std namespace in the next slides

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**std::cout** is an example of *output* stream. Data is redirected to a destination, in this case the destination is the standard output

```
C:
    #include <stdio.h>
    int main() {
        int a = 4;
        double b = 3.0;
        char c[] = "hello";
        printf("%d %f %s\n", a, b, c);
}
C++:
```

```
#include <iostream>
int main() {
    int a = 4;
    double b = 3.0;
    char c[] = "hello";
    std::cout << a << " " << b << " " << c << "\n";</pre>
```

- **Type-safe**: The type of object provided to the I/O stream is known <u>statically</u> by the compiler. In contrast, printf uses % fields to figure out the types dynamically
- Less error prone: With IO Stream, there are no redundant % tokens that have to be consistent with the actual objects pass to I/O stream. Removing redundancy removes a class of errors
- Extensible: The C++ IO Stream mechanism allows new user-defined types to be pass to I/O stream without breaking existing code
- Comparable performance: If used correctly may be faster than C I/O (printf, scanf, etc.).

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#### I/O Stream (Common C errors)

• Forget the number of parameters:

printf("long phrase %d long phrase %d", 3);

• Use the wrong format:

int a = 3; ...many lines of code... printf(" %f", a);

 The %c conversion specifier does not automatically skip any leading white space: scanf("%d", &var1); scanf(" %c", &var2);

# Fundamental Types Overview

Туре	Bytes	Range	Fixed width types
bool	1	true, false	
char $^{\dagger}$	1	-127 to 127	
signed char	1	-128 to 127	int8_t
unsigned char	1	0 to 255	uint8_t
short	2	-2 <sup>15</sup> to 2 <sup>15</sup> -1	int16_t
unsigned short	2	0 to 2 <sup>16</sup> -1	uint16_t
int	4	$-2^{31}$ to $2^{31}$ -1	int32_t
unsigned int	4	0 to 2 <sup>32</sup> -1	uint32_t
long int	4/8		int32_t/int64_t
long unsigned int	4/8*		uint32_t/uint64_t
long long int	8	$-2^{63}$ to $2^{63}$ -1	int64_t
long long unsigned int	8	0 to 2 <sup>64</sup> -1	uint64_t
float (IEEE 754)	4	$\pm 1.18  imes 10^{-38}$ to $\pm 3.4  imes 10^{+38}$	
double (IEEE 754)	8	$\pm 2.23  imes 10^{-308}$ to $\pm 1.8  imes 10^{+308}$	

 $^{\ast}$  4 bytes on Windows64 systems,  $^{\dagger}$  one-complement

#### Arithmetic Types - Short Name

Signed Type	short name
signed char	/
signed short int	short
signed int	int
signed long int	long
signed long long int	long long

Unsigned Type	short name		
unsigned char	/		
unsigned short int	unsigned short		
unsigned int	unsigned		
unsigned long int	unsigned long		
unsigned long long int	unsigned long long		

#### Arithmetic Types - Suffix and Prefix

Туре	SUFFIX	example
int	/	2
unsigned int	u	Зu
long int	1	81
long unsigned	ul	2ul
long long int	11	411
long long unsigned int	ull	7ull
float	f	3.0f
double		3.0

Representation	PREFIX	example
Binary C++14	0b	0b010101
Octal	0	0308
Hexadecimal	Ox or OX	OxFFA010

C++14 allows also *digit separators* for improving the readability 1'000'000

#### **Other Arithmetic Types**

- C++ also provides long double (no IEEE-754) of size 8/12/16 bytes depending on the implementation
- C++ (until C++23\*) does not provide support for 16-bit float data type (IEEE 754-2008)
  - Some compilers already provide support for half float (GCC for ARM: \_\_fp16 , LLVM compiler: half )
  - Some modern CPUs (+ Nvidia GPUs) provide half-float instructions
  - Software support (OpenGL, Photoshop, Lightroom, half.sourceforge.net)
- C++ does not provide 128-bit integers even if some architectures support it.
   clang and gcc allow 128-bit integers as compiler extension ( \_\_int128 )

<sup>\*</sup> Extended floating-point types and standard names

void is an incomplete type (not defined) without a value

- void indicates also a function with no return type or no parameters
   e.g. void f(), f(void)
- In C sizeof(void) == 1 (GCC), while in C++ sizeof(void) does not compile!!

```
int main() {
// sizeof(void); // compile error
}
```

C++11 introduces the new keyword <code>nullptr</code> to represent a null pointer ( 0x0 ) and replacing the <code>NULL</code> macro

int\* p1 = NULL; // ok, equal to int\* p1 = Ol int\* p2 = nullptr; // ok, nullptr is a pointer not a number int n1 = NULL; // ok, we are assigning 0 to n1 // int n2 = nullptr; // compile error we are assigning // a null pointer to an integer variable // int\* p2 = true ? 0 : nullptr; // compile error // incompatible types

Remember: nullptr is not a pointer, but an object of type  $nullptr_t \rightarrow safer$ 

The *fundamental types*, also called *primitive* or *built-in*, are organized into three main categories:

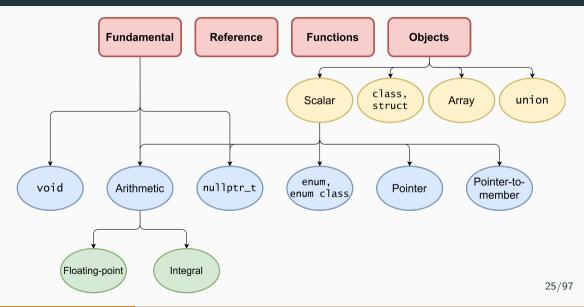
- Integer
- Floating-point
- void

### Any other entity in C++ is

- an *alias* to the correct type depending to the context and the architectures
- a *composition* of builtin types: struct/class, array, union

en.cppreference.com/w/cpp/language/types
en.cppreference.com/w/cpp/types/integer

#### C++ Types Summary



# **Conversion Rules**

#### **Conversion Rules**

Implicit type conversion rules, applied in order, before any operation:

 $\otimes$ : any operation (\*, +, /, -, %, etc.)

#### (A) Floating point promotion

 $\texttt{floating\_type} \, \otimes \, \texttt{integer\_type} \, \rightarrow \, \texttt{floating\_type}$ 

#### (B) Implicit integer promotion

 $small_integral_type := any signed/unsigned integral type smaller than int small_integral_type <math>\otimes$  small\_integral\_type  $\rightarrow$  int

#### (C) Size promotion

 $\texttt{small\_type} \otimes \texttt{large\_type} \rightarrow \texttt{large\_type}$ 

#### (D) Sign promotion

 $\texttt{signed\_type} \otimes \texttt{unsigned\_type} \rightarrow \texttt{unsigned\_type}$ 

#### **Examples and Common Errors**

```
float f = 1.0f;

unsigned u = 2;

int i = 3;

short s = 4;

uint8_t c = 5; // unsigned char

f * u; // float \times unsigned \rightarrow float: 2.0f

s * c; // short \times unsigned char \rightarrow int: 20

u * i; // unsigned \times int \rightarrow unsigned: 6u

+c; // unsigned char \rightarrow int: 5
```

Integers are not floating points!

int b = 7; float a = b / 2; // a = 3 not 3.5!! int c = b / 2.0; // again c = 3 not 3.5!! Integral data types smaller than 32-bit are *implicitly* promoted to **int**, independently if they are *signed* or *unsigned* 

• Unary +, -,  $\sim$  and Binary +, -, &, etc. promotion:

```
char a = 48;  // '0'
cout << a;  // print '0'
cout << +a;  // print '48'
cout << (a + 0); // print '48'
uint8_t a1 = 255;
uint8_t b1 = 255;
cout << (a1 + b1); // print '510' (no overflow)</pre>
```

# auto **Declaration**

C++11 The auto keyword specifies that the type of the variable will be automatically deduced by the compiler (from its initializer)

```
auto a = 1 + 2; // 1 is int, 2 is int, 1 + 2 is int!
// -> 'a' is "int"
auto b = 1 + 2.0; // 1 is int, 2.0 is double. 1 + 2.0 is double
// -> 'b' is "double"
```

auto can be very useful for maintainability and for hiding complex type definitions
for (auto i = k; i < size; i++)
...</pre>

On the other hand, it may make the code less readable if excessively used because of type hiding

Example: auto x = 0; in general makes no sense (x is int)

auto (as well as decltype) can be used for defining both function input C++20 and output types C++11/C++14

```
auto g(int x) -> int { return x * 2; } // C++11
// "-> int" is the deduction type
// a better way to express it is:
auto g2(int x) \rightarrow decltype(x * 2) \{ return x * 2; \}
auto h(int x) { return x * 2; } // C++14
void f(auto x) {}
                                     // C++20
// equivalent to templates but less expensive at compile-time
//-----
int x = g(3); // C++11
f(3); // C++20
f(3.0): // C++20
```

# **C++ Operators**

Precedence	Operator	Description	Associativity
1	a++ a	Suffix/postfix increment and decrement	Left-to-right
2	++aa ! $\sim$	Prefix increment/decrement, Logical/Bitwise Not	Right-to-left
3	a*b a/b a%b	Multiplication, division, and remainder	Left-to-right
4	a+b a-b	Addition and subtraction	Left-to-right
5	$\ll \gg$	Bitwise left shift and right shift	Left-to-right
6	< <= > >=	Relational operators	Left-to-right
7	== !=	Equality operators	Left-to-right
8	&	Bitwise AND	Left-to-right
9	^	Bitwise XOR	Left-to-right
10		Bitwise OR	Left-to-right
11	\$2	Logical AND	Left-to-right
12		Logical OR	Left-to-right

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- Unary operators have higher precedence than binary operators
- Standard math operators (+, \*, etc.) have <u>higher</u> precedence than comparison, bitwise, and logic operators
- Comparison operators have higher precedence than bitwise and logic operators
- Bitwise operators have higher precedence than logic operators
- Compound assignment operators += , -= , \*= , /= , %= , ^= , != , &= ,
   >>= , <<= have lower priority</li>
- The **comma** operator has the lowest precedence (see next slides)

 $<sup>\</sup>tt en.cppreference.com/w/cpp/language/operator\_precedence$ 

#### Examples:

a + b * 4;	// a + (b * 4)
a * b / c % d;	// ((a * b) / c) % d
a + b < 3 >> 4;	// (a + b) < (3 >> 4)
a && b && c    d;	// (a && b && c)    d
a   b & c    e && d;	// ((a   (b & c))    (e && d)

Important: sometimes parenthesis can make expression worldly... but they can help!

# Prefix/Postfix Increment Semantic

#### Prefix Increment/Decrement ++i, --i

- (1) Update the value
- (2) Return the new (updated) value

#### Postfix Increment/Decrement i++ , i--

- (1) Save the old value (temporary)
- (2) Update the value
- (3) Return the old (original) value

Prefix/Postfix increment/decrement semantic applies not only to built-in types but also to objects

# **Operation Ordering Undefined Behavior \***

Expressions with undefined (implementation-defined) behavior:

```
int i = 0;
i = ++i + 2; // until C++11: undefined behavior
                 // since C++11; i = 3
i = 0;
i = i++ + 2; // until C++17: undefined behavior
                 // since C++17: i = 3
f(i = 2, i = 1); // until C++17: undefined behavior
                 // since C++17; i = 2
i = 0:
a[i] = i++; // until C++17: undefined behavior
                 // since C++17: a[1] = 1
f(++i, ++i); // undefined behavior
i = ++i + i++; // undefined behavior
```

# Assignment, Compound, and Comma Operators

**Assignment** and **compound assignment** operators have *right-to-left associativity* and their expressions return the assigned value

The **comma** operator has *left-to-right associativity*. It evaluates the left expression, discards its result, and returns the right expression

```
int a = 5, b = 7;
int x = (3, 4); // discards 3, then x=4
int y = 0;
int z;
z = y, x; // z=y (0), then returns x (4)
```

C++20 provides the **three-way comparison operator** <=>, also called *spaceship operator*, which allows comparing two objects in a similar way of strcmp. The operator returns an object that can be directly compared with a positive, 0, or negative integer value

(3 <=> 5) == 0; // false ('a' <=> 'a') == 0; // true (3 <=> 5) < 0; // true (7 <=> 5) < 0; // false

The semantic of the *spaceship operator* can be extended to any object (see next lectures) and can greatly simplify the comparison operators overloading

# Safe Comparison Operators

C++20 introduces a set of functions <utility> to safely compare integers of different types (signed, unsigned)

```
bool cmp_equal(T1 a, T2 b)
bool cmp_not_equal(T1 a, T2 b)
bool cmp_less(T1 a, T2 b)
bool cmp_greater(T1 a, T2 b)
bool cmp_less_equal(T1 a, T2 b)
bool cmp_greater_equal(T1 a, T2 b)
```

example:

```
#include <utility>
unsigned a = 4;
int b = -3;
bool v1 = (a > b); // false!!!, see next slides
bool v2 = cmp_greater(a, b); // true
```

# **Integral Data Types**

# A Firmware Bug

"Certain SSDs have a firmware bug causing them to irrecoverably fail after exactly 32,768 hours of operation. SSDs that were put into service at the same time will fail simultaneously, so RAID won't help"

HPE SAS Solid State Drives - Critical Firmware Upgrade



Via twitter.com/martinkl/status/1202235877520482306?s=19

# **Overflow Implementations**



Note: Computing the average in the right way is not trivial, see On finding the average of two unsigned integers without overflow

related operations: ceiling division, rounding division

ai.googleblog.com/2006/06/extra-extra-read-all-about-it-nearly.html

#### Potentially Catastrophic Failure



 $51 \ days = 51 \cdot 24 \cdot 60 \cdot 60 \cdot 1000 = 4\,406\,400\,000 \ ms$ 

Boeing 787s must be turned off and on every 51 days to prevent 'misleading data' being shown to pilots \$41/97\$

# C++ Data Model

- **LP32** Windows 16-bit APIs (no more used)
- ILP32 Windows 32-bit APIs, Unix 32-bit (Linux, Mac OS)
- LLP64 Windows 64-bit APIs
- LP64 Linux 64-bit APIs

Model/Bits	short	int	long	long long	pointer
ILP32	16	32	32	64	32
LLP64	16	32	32	64	64
LP64	16	32	64	64	64

char is always 1 byte

#### int\*\_t <cstdint>

C++ provides <u>fixed width integer types</u>. They have the same size on <u>any</u> architecture: int8\_t, uint8\_t

int16\_t, uint16\_t
int32\_t, uint32\_t

int64\_t, uint64\_t

Good practice: Prefer fixed-width integers instead of native types. int and unsigned can be directly used as they are widely accepted by C++ data models

int\*\_t types are not "real" types, they are merely typedefs to appropriate
fundamental types

C++ standard does not ensure a one-to-one mapping:

- There are five distinct fundamental types (char, short, int, long, long long)
- There are four int\*\_t overloads (int8\_t, int16\_t, int32\_t, and int64\_t)

 $\texttt{ithare.com/c-on-using-int\_t-as-overload-and-template-parameters}$ 

<u>Warning</u>: I/O Stream interprets uint8\_t and int8\_t as char and not as integer values

int8\_t var; cin >> var; // read '2' cout << var; // print '2' int a = var \* 2; cout << a; // print '100' !!</pre>

#### size\_t <cstddef>

size\_t is an alias data type capable of storing the biggest representable value on the current architecture

- size\_t is an unsigned integer type (of at least 16-bit)
- In common C++ implementations:
  - size\_t is 4 bytes on 32-bit architectures
  - size\_t is 8 bytes on 64-bit architectures
- size\_t is commonly used to represent size measures

**Signed** and **unsigned** integers use the same hardware for their operations, but they have very <u>different semantic</u>:

# signed integers

- Represent positive, negative, and zero values  $(\mathbb{Z})$
- More negative values  $(2^{31}-1)$  than positive  $(2^{31}-2)$
- Overflow/underflow is <u>undefined behavior</u>
   Possible behavior:

overflow:  $(2^{31} - 1) + 1 \rightarrow min$ underflow:  $-2^{31} - 1 \rightarrow max$ 

- Bit-wise operations are implementation-defined
- Commutative, reflexive, not associative (overflow/underflow)

#### unsigned integers

- Represent only *non-negative* values (ℕ)
- Overflow/underflow is <u>well-defined</u> (modulo 2<sup>32</sup>)
- Discontinuity in 0,  $2^{32} 1$
- Bit-wise operations are <u>well-defined</u>
- Commutative, reflexive, associative

#### Google Style Guide

Because of historical accident, the C++ standard also uses unsigned integers to represent the size of containers - many members of the standards body believe this to be a mistake, but it is effectively impossible to fix at this point

#### Solution: use int64\_t

```
max value: 2^{63} - 1 = 9,223,372,036,854,775,807 or
9 quintillion (9 billion of billion),
about 292 years (nanoseconds),
9 million terabytes
```

Subscripts and sizes should be signed, WG21 P1428R0, *Bjarne Stroustrup* Don't add to the signed/unsigned mess, WG21 P1491R0, *Bjarne Stroustrup* 

Query properties of arithmetic types in C++11:

#include <limits>
std::numeric\_limits<int>::max(); // 2<sup>31</sup> - 1
std::numeric\_limits<uint16\_t>::max(); // 65,535

std::numeric\_limits<int>::min(); // -2<sup>31</sup>
std::numeric\_limits<unsigned>::min(); // 0

\* this syntax will be explained in the next lectures

#### **Promotion and Truncation**

**Promotion** to a larger type keeps the sign

int16\_t x = -1; int y = x; // sign extend cout << y; // print -1</pre>

**Truncation** to a smaller type is implemented as a modulo operation with respect to the number of bits of the smaller type

```
int x = 65537; // 2<sup>16</sup> + 1
int16_t y = x; // x % 2<sup>16</sup>
cout << y; // print 1
int z = 32769; // 2<sup>15</sup> + 1 (does not fit in a int16_t)
int16_t w = z; // (int16_t) (x % 2<sup>16</sup> = 32769)
cout << w; // print -32767</pre>
```

```
unsigned a = 10; // array is small
int      b = -1;
array[10ull + a * b] = 0; // ?
```

Segmentation fault!

```
int f(int a, unsigned b, int* array) { // array is small
    if (a > b)
        return array[a - b]; // ?
    return 0;
}
```

Segmentation fault for "a" i 0!

```
// v.size() return unsigned
for (size_t i = 0; i < v.size() - 1; i++)
array[i] = 3; // ?</pre>
```

Segmentation fault for v.size() = 0!

#### Easy case:

#### What about the following code?

uint64\_t x = 32; // x can be also a pointer
x += 2u - 4;
cout << x;</pre>

# **Undefined Behavior**

#### More negative values than positive

```
int x = std::numeric_limits<int>::max() * -1; // (2^31 -1) * -1
cout << x; // -2^31 +1 ok</pre>
```

```
int y = std::numeric_limits<int>::min() * -1; // -2^31 * -1
cout << y; // hard to see in complex examples // 2^31 overflow!!</pre>
```

#### A pratical example:

```
void f(int* ptr, int pos) {
    pos++;
    if (pos < 0)
        return; // <-- the compiler assumes that
    ptr[pos] = 0; // signed overflow never happen
} // and removes the if statement
int main() { // compiled with optimizations
    int tmp[10]; // leads to segmentation faults
    f(tmp, INT_MAX);
}</pre>
```

Bitwise operations on signed integer types is undefined behavior

```
int64_t z = 4294967296; // 2^32 ok
// int64_t z1 = 1 << 12; // undefined behavior!!</pre>
```

Shift larger than #bits of the data type is undefined behavior even for unsigned
unsigned x = 1;
unsigned y = x >> 32u; // undefined behavior!!

Undefined behavior in implicit conversion

#### Even worse example:

```
#include <iostream>
int main() {
    for (int i = 0; i < 4; ++i)
        std::cout << i * 1000000000 << std::endl;
}
// with optimizations, it is an infinite loop
// --> 100000000 * i > INT_MAX
// undefined behavior!!
```

// the compiler translates the multiplication constant into an addition

Why does this loop produce undefined behavior?

#### Is the following loop safe?

```
void f(int size) {
   for (int i = 1; i < size; i += 2)
        ...
}</pre>
```

- What happens if size is equal to INT\_MAX ?
- How to make the previous loop safe?
- i >= 0 && i < size is not the solution because of undefined behavior of signed overflow
- Can we generalize the solution when the increment is i += step ?

# **Overflow / Underflow**

Detecting overflow/underflow for unsigned integral types is not trivial

```
// some examples
bool is_add_overflow(unsigned a, unsigned b) {
   return (a + b) < a || (a + b) < b;
}
bool is_mul_overflow(unsigned a, unsigned b) {
   unsigned x = a * b;
   return a != 0 && (x / a) != b;
}</pre>
```

Overflow/underflow for <u>signed integral</u> types is **not defined** !! Undefined behavior must be checked before performing the operation

# Floating-point Types and Arithmetic

## IEEE754 is the technical standard for floating-point arithmetic

The standard defines the binary format, operations behavior, rounding rules, exception handling, etc.

- First Release: 1985
- Second Release: 2008. Add 16-bit floating point
- Third Release: 2019. Specify min/max behavior

see The IEEE Standard 754: One for the History Books

#### IEEE764 technical document:

754-2019 - IEEE Standard for Floating-Point Arithmetic

In general, C/C++ adopts IEEE754 floating-point standard: en.cppreference.com/w/cpp/types/numeric\_limits/is\_iec559 • IEEE764 Single precision (32-bit) float

Sign	Exponent (or base)	Mantissa (or significant)
1-bit	8-bit	23-bit

• IEEE764 Double precision (64-bit) double

Sign	Exponent (or base)	Mantissa (or significant)
1-bit	11-bit	52-bit

• IEEE754 16-bit Floating-point (fp16)  $\rightarrow$ , GPU, Arm7

Sign	Exponent	Mantissa
1-bit	5-bit	10-bit

• Google 16-bit Floating-point (bfloat16)  $\rightarrow$ , TPU, GPU, Arm8

Sign	Exponent	Mantissa
1-bit	8-bit	7-bit

 ${\tt half-precision-arithmetic-fp16-versus-bfloat16}$ 

# Other Real Value Representations (Non-standardized in C++)

- TensorFloat-32, 8-bit Floating Point: Specialized floating-point formats for deep learning applications
- Posit (John Gustafson, 2017), also called *unum III (universal number*), represents floating-point values with *variable-width* of exponent and mantissa.
   It is implemented in experimental platforms
- Fixed-point representation has a fixed number of digits after the radix point (decimal point). The gaps between adjacent numbers are always equal. The range of their values is significantly limited compared to floating-point numbers. It is widely used on embedded systems
- NVIDIA Hopper Architecture In-Depth
- Beating Floating Point at its Own Game: Posit Arithmetic
- Comparing posit and IEEE-754 hardware cost

# **Floating-point Representation**

## Floating-point number:

- Radix (or base):  $\beta$
- Precision (or digits): p
- Exponent (magnitude): e
- Mantissa: M

$$n = \underbrace{M}_{p} \times \beta^{e} \rightarrow$$
 IEEE754:  $1.M \times 2^{e}$ 

float f1 = 1.3f; // 1.3 float f2 = 1.1e2f; //  $1.1 \cdot 10^2$ float f3 = 3.7E4f; //  $3.7 \cdot 10^4$ float f4 = .3f; // 0.3 double d1 = 1.3; // without "f" double d2 = 5E3; //  $5 \cdot 10^3$ 

# **Floating-point Representation**

#### **Exponent** Bias

In IEEE754 floating point numbers, the exponent value is offset from the actual value by the **exponent bias** 

- The exponent is stored as an unsigned value suitable for comparison
- Floating point values are lexicographic ordered
- For a single-precision number, the exponent is stored in the range [1,254] (0 and 255 have special meanings), and is <u>biased</u> by subtracting 127 to get an exponent value in the range [-126, +127]

$$\begin{array}{c} 0 \\ + \end{array} \begin{array}{c} 10000111 \\ 2^{(135-127)} = 2^8 \end{array} \begin{array}{c} 11000000000000000000 \\ \frac{1}{2^1} + \frac{1}{2^2} = 0.5 + 0.25 = 0.75 \xrightarrow{normal} 1.75 \end{array}$$

$$+1.75 * 2^8 = 448.0$$

#### Normal number

A **normal** number is a floating point value that can be represented with *at least one bit set in the exponent* or the mantissa has all 0s

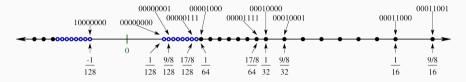
#### **Denormal number**

**Denormal** (or subnormal) numbers fill the underflow gap around zero in floating-point arithmetic. Any non-zero number with magnitude smaller than the smallest normal number is <u>denormal</u>

A **denormal** number is a floating point value that can be represented with *all 0s in the exponent*, but the mantissa is non-zero

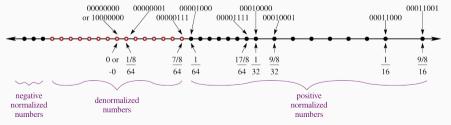
#### Why denormal numbers make sense:





#### The problem: distance values from zero





### Infinity

In the IEEE754 standard, inf (infinity value) is a numeric data type value that exceeds the maximum (or minimum) representable value

Operations generating inf :

- $\pm \infty \cdot \pm \infty$
- $\pm \infty \cdot \pm \texttt{finite_value}$
- finite\_value op finite\_value > max\_value
- non-NaN  $/\pm 0$

There is a single representation for +inf and -inf

$$ext{Comparison: (inf == finite_value)} 
ightarrow ext{false} \ (\pm ext{inf == } \pm ext{inf)} 
ightarrow ext{true}$$

```
cout << 0 / 0;  // undefined behavior
cout << 0.0 / 0.0;  // print "nan"
cout << 5.0 / 0.0;  // print "inf"
cout << -5.0 / 0.0;  // print "-inf"
auto inf = std::numeric_limits<float>::infinity;
cout << (-0.0 == 0.0);  // true, 0 == 0
cout << ((5.0f / inf) == ((-5.0f / inf));  // true, 0 == 0
cout << (10e40f) == (10e40f + 9999999.0f); // true, inf == inf
cout << (10e40) == (10e40f + 9999999.0f); // false, 10e40 != inf</pre>
```

# Not a Number (NaN)

#### NaN

In the IEEE754 standard, NaN (not a number) is a numeric data type value representing an undefined or unrepresentable value

Operations generating NaN :

- Operations with a NaN as at least one operand
- =  $\pm\infty\cdot\mp\infty$  ,  $0\cdot\infty$
- $0/0,\infty/\infty$
- $\sqrt{x}$ ,  $\log(x)$  for x < 0
- $\sin^{-1}(x), \cos^{-1}(x)$  for x < -1 or x > 1

There are many representations for NaN (e.g.  $2^{24} - 2$  for float)

Comparison: (NaN == x) 
$$\rightarrow$$
 false, for every x  
(NaN == NaN)  $\rightarrow$  false

#### Machine epsilon

**Machine epsilon**  $\varepsilon$  (or *machine accuracy*) is defined to be the smallest number that can be added to 1.0 to give a number other than one

IEEE 754 Single precision :  $\pmb{\varepsilon} = 2^{-23} pprox 1.19209 * 10^{-7}$ 

IEEE 754 Double precision :  $\varepsilon = 2^{-52} \approx 2.22045 * 10^{-16}$ 

#### ULP

Units at the Last Place is the gap between consecutive floating-point numbers  $ULP(p, e) = 1.0 \times \beta^{e-(p-1)}$ 

Example:

$$eta = 10, \ p = 3$$
  
 $\pi = 3.1415926... 
ightarrow x = 3.14 imes 10^{0}$   
 $ULP(3,0) = 10^{-2} = 0.01$ 

Relation with  $\boldsymbol{\varepsilon}$ :

- $\varepsilon = ULP(p, 0)$
- $ULP_x = \boldsymbol{\varepsilon} * \beta^{\boldsymbol{e}(x)}$

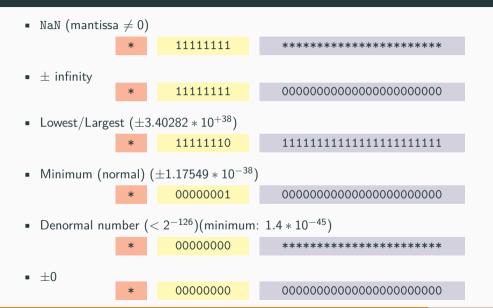
Machine floating-point representation of x is denoted fl(x)

 $fl(x) = x(1+\delta)$ 

**Absolute Error**: 
$$|fl(x) - x| \leq \frac{1}{2} \cdot ULP_x$$

**Relative Error**: 
$$\left|\frac{fl(x)-x}{x}\right| \leq \frac{1}{2} \cdot \varepsilon$$

# Floating-point - Summary



	half	bfloat16	float	double
exponent	5-bit [0*-30]	8-bit [	11-bit [0*-2046]	
bias	15	1	1023	
mantissa	10-bit	7-bit	23-bit	52-bit
largest $(\pm)$	2 <sup>16</sup> 65, 536	2 3.4	$2^{1024}$ $1.8\cdot 10^{308}$	
smallest $(\pm)$	2 <sup>-14</sup> 0.00006	2 <sup>-</sup> 1.2 ·	$2^{-1022}$ 2.2 $\cdot$ 10 <sup>-308</sup>	
smallest (denormal*)	$2^{-24}$ $6.0 \cdot 10^{-8}$	/	$2^{-149} \\ 1.4 \cdot 10^{-45}$	$2^{-1074} \\ 4.9 \cdot 10^{-324}$
epsilon	2 <sup>-10</sup> 0.00098	2 <sup>-7</sup> 0.0078	$2^{-23}$ 1.2 · 10 <sup>-7</sup>	$2^{-52}$ 2.2 · 10 <sup>-16</sup>

# **Floating-point - Limits**

```
#include <limits>
// T: float or double
```

```
std::numeric_limits<T>::max(); // largest value
```

```
std::numeric_limits<T>::lowest(); // lowest value (C++11)
```

```
std::numeric_limits<T>::min(); // smallest value
```

```
std::numeric_limits<T>::denorm_min() // smallest (denormal) value
```

```
std::numeric_limits<T>::epsilon(); // epsilon value
```

```
std::numeric_limits<T>::infinity() // infinity
```

std::numeric\_limits<T>::quiet\_NaN() // NaN

#### #include <cmath> // C++11

```
bool std::isnan(T value) // check if value is NaN
bool std::isinf(T value) // check if value is ±infinity
bool std::isfinite(T value) // check if value is not NaN
// and not ±infinity
```

```
bool std::isnormal(T value); // check if value is Normal
```

T std::ldexp(T x, p) // exponent shift x \* 2<sup>p</sup>
int std::ilogb(T value) // extracts the exponent of value

Floating-point operations are written

- $\oplus$  addition
- $\ominus$  subtraction
- $\bullet \ \otimes \ {\rm multiplication}$
- $\oslash$  division

 $\odot \in \{\oplus, \ominus, \otimes, \oslash\}$ 

 $\textit{op} \in \{+,-,*,\setminus\}$  denotes exact precision operations

- (P1) In general, a op  $b \neq a \odot b$
- (P2) Not Reflexive  $a \neq a$ 
  - Reflexive without NaN
- (P3) Not Commutative  $a \odot b \neq b \odot a$ 
  - Commutative without NaN (NaN  $\neq$  NaN)
- (P4) In general, Not Associative  $(a \odot b) \odot c \neq a \odot (b \odot c)$
- (P5) In general, **Not Distributive**  $(a \oplus b) \otimes c \neq (a \cdot c) \oplus (b \cdot c)$
- (P6) Identity on operations is not ensured  $(k \oslash a) \otimes a \neq k$
- (P7) No overflow/underflow Floating-point has <u>"saturation"</u> values inf, -inf
  - Adding (or subtracting) can "saturate" before inf, -inf

C++11 allows determining if a floating-point exceptional condition has occurred by using floating-point exception facilities provided in  $\langle cfenv \rangle$ 

```
#include <cfenv>
// MACRO
FE_DIVBYZERO // division by zero
FE_INEXACT // rounding error
FE_INVALID // invalid operation, i.e. NaN
FE_OVERFLOW // overflow (reach saturation value +inf)
FE_UNDERFLOW // underflow (reach saturation value -inf)
FE_ALL_EXCEPT // all exceptions
```

#### // functions

# **Detect Floating-point Errors \***

```
#include <cfenv> // floating point exceptions
#include <iostream>
#pragma STDC FENV ACCESS ON // tell the compiler to manipulate the floating-point
                          // environment (not supported by all compilers)
                          // qcc: yes, clanq: no
int main() {
   std::feclearexcept(FE_ALL_EXCEPT); // clear
   auto x = 1.0 / 0.0; // all compilers
   std::cout << (bool) std::fetestexcept(FE_DIVBYZERO); // print true</pre>
   std::feclearexcept(FE_ALL_EXCEPT); // clear
   auto x^2 = 0.0 / 0.0; // all compilers
   std::cout << (bool) std::fetestexcept(FE_INVALID); // print true</pre>
   std::feclearexcept(FE_ALL_EXCEPT); // clear
   auto x4 = 1e38f * 10; // gcc: ok
   std::cout << std::fetestexcept(FE_OVERFLOW); // print true</pre>
7
```

see What is the difference between quiet NaN and signaling NaN?

# **Floating-point Issues**

#### Some Examples...





Ariene 5: data conversion from 64-bit floating point value to 16-bit signed integer  $\rightarrow$  \$137 million

**Patriot Missile:** small chopping error at each operation, 100 hours activity  $\rightarrow$  28 deaths

#### Integer type is more accurate than floating type for large numbers

cout << 16777217; // print 167777217 cout << (int) 16777217.0f; // print 167777216!! cout << (int) 16777217.0; // print 167777217, double ok</pre>

#### float numbers are different from double numbers

```
cout << (1.1 != 1.1f); // print true !!!
```

#### The floating point precision is finite!

#### Floating point arithmetic is not associative

cout << 0.1 + (0.2 + 0.3) == (0.1 + 0.2) + 0.3; // print false

IEEE764 Floating-point computation guarantees to produce **deterministic** output, namely the exact bitwise value for each run, <u>if and only if</u> the **order of the operations is always the same** 

 $\rightarrow$  same result on any machine and for all runs

"Using a double-precision floating-point value, we can represent easily the number of atoms in the universe.

If your software ever produces a number so large that it will not fit in a double-precision floating-point value, chances are good that you have a bug"

Daniel Lemire, Prof. at the University of Quebec

"NASA uses just 15 digits of  $\pi$  to calculate interplanetary travel. With 40 digits, you could calculate the circumference of a circle the size of the visible universe with an accuracy that would fall by less than the diameter of a single hydrogen atom"

Latest in space, Twitter

# **Floating-point Algorithms**

- addition algorithm (simplified):
- (1) Compare the exponents of the two numbers. Shift the smaller number to the right until its exponent would match the larger exponent
- (2) Add the mantissa
- (3) Normalize the sum if needed (shift right/left the exponent)

#### multiplication algorithm (simplified):

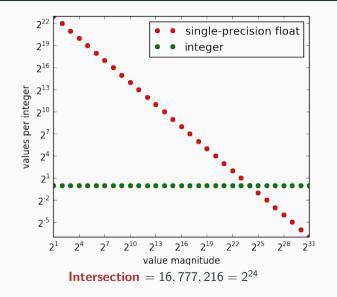
- (1) Multiplication of mantissas. The number of bits of the result is twice the size of the operands (46 + 2 bits, +2 for implicit normalization)
- (2) Normalize the product if needed (shift right/left the exponent)
- (3) Addition of the exponents
- fused multiply-add (fma):
  - Recent architectures (also GPUs) provide fma to compute these two operations in a single instruction (performed by the compiler in most cases)
  - The rounding error is lower  $fl(fma(x, y, z)) < fl((x \otimes y) \oplus z)$

#### **Catastrophic Cancellation**

**Catastrophic cancellation** (or *loss of significance*) refers to loss of relevant information in a floating-point computation that cannot be revered

Two cases:

- (C1)  $\mathbf{a} \pm \mathbf{b}$ , where  $\mathbf{a} \gg \mathbf{b}$  or  $\mathbf{b} \gg \mathbf{a}$ . The value (or part of the value) of the smaller number is lost
- (C2)  $\mathbf{a} \mathbf{b}$ , where  $\mathbf{a}, \mathbf{b}$  are approximation of exact values and  $\mathbf{a} \approx \mathbf{b}$ , namely a loss of precision in both  $\mathbf{a}$  and  $\mathbf{b}$ .  $\mathbf{a} \mathbf{b}$  cancels most of the relevant part of the result because  $\mathbf{a} \approx \mathbf{b}$ . It implies a *small absolute error* but a *large relative error*



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How many iterations performs the following code?

while (x > 0)x = x - y;

#### How many iterations?

float:	x	=	10,000,000	У	=	1	->	10,000,000			
float:	x	=	30,000,000	У	=	1	->	does	$\mathtt{not}$	terminate	
float:	x	=	200,000	У	=	0.001	->	does	$\mathtt{not}$	terminate	
bfloat:	x	=	256	у	=	1	->	does	not	terminate	!!

# ₼ 3/3

#### **Floating-point increment**

float x = 0.0f; for (int i = 0; i < 20000000; i++) x += 1.0f;

What is the value of  $\mathbf{x}$  at the end of the loop?

### Catastrophic Cancellation (case 2)

Let's solve a quadratic equation:

$$x_{1,2} = rac{-b \pm \sqrt{b^2 - 4ac}}{2a}$$

 $x^2 + 5000x + 0.25$ 

```
(-5000 + std::sqrt(5000.0f * 5000.0f - 4.0f * 1.0f * 0.25f)) / 2 // x2
(-5000 + std::sqrt(25000000.0f - 1.0f)) / 2 // catastrophic cancellation (C1)
(-5000 + std::sqrt(25000000.0f)) / 2
(-5000 + 5000) / 2 = 0 // catastrophic cancellation (C2)
// correct result: 0.00005!!
```

relative error: 
$$\frac{|0 - 0.00005|}{0.00005} = 100\%$$

# The problem

```
cout << (0.11f + 0.11f < 0.22f); // print true!!
cout << (0.1f + 0.1f > 0.2f); // print true!!
```

```
Do not use absolute error margins!!
```

```
bool areFloatNearlyEqual(float a, float b) {
    if (std::abs(a - b) < epsilon); // epsilon is fixed by the user
        return true;
    return false;
}</pre>
```

Problems:

- Fixed epsilon "looks small" but it could be too large when the numbers being compared are very small
- If the compared numbers are very large, the epsilon could end up being smaller than the smallest rounding error, so that the comparison always returns false
   92/97

# **Floating-point Comparison**

**Solution:** Use relative error  $\frac{|a-b|}{b} < \varepsilon$ 

```
bool areFloatNearlyEqual(float a, float b) {
    if (std::abs(a - b) / b < epsilon); // epsilon is fixed
        return true;
    return false;
}</pre>
```

Problems:

- a=0, b=0 The division is evaluated as 0.0/0.0 and the whole if statement is (nan < espilon) which always returns false</li>
- b=0 The division is evaluated as abs(a)/0.0 and the whole if statement is (+inf < espilon) which always returns false</li>
- a and b very small. The result should be true but the division by b may produces wrong results
- It is not commutative. We always divide by b

# **Floating-point Comparison**

```
Possible solution: \frac{|a-b|}{\max(|a|,|b|)} < \varepsilon
bool areFloatNearlyEqual(float a, float b) {
     const float normal_min = std::numeric_limits<float>::min();
     const float relative error = <user defined>
     if (!std::isfinite(a) || !isfinite(b)) // a = \pm \infty, NaN or b = \pm \infty, NaN
         return false:
     float diff = std::abs(a - b):
     // if "a" and "b" are near to zero. the relative error is less effective
     if (diff <= normal_min) // or also: user_epsilon * normal_min</pre>
         return true:
     float abs_a = std::abs(a);
     float abs_b = std::abs(b);
     return (diff / std::max(abs_a, abs_b)) <= relative_error;</pre>
```

# Minimize Error Propagation - Summary

- Prefer multiplication/division rather than addition/subtraction
- Try to reorganize the computation to keep near numbers with the same scale (e.g. sorting numbers)
- Consider to **put a zero** very small number (under a threshold). Common application: iterative algorithms
- Scale by a **power of two** is safe
- Switch to log scale. Multiplication becomes Add, and Division becomes Subtraction
- Use a compensation algorithm like Kahan summation, Dekker's FastTwoSum, Rump's AccSum

### References

#### Suggest readings:

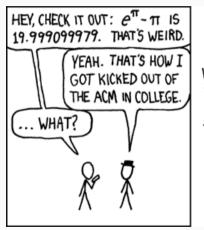
- What Every Computer Scientist Should Know About Floating-Point Arithmetic
- Do Developers Understand IEEE Floating Point?
- Yet another floating point tutorial
- Unavoidable Errors in Computing

# Floating-point Comparison readings:

- The Floating-Point Guide Comparison
- Comparing Floating Point Numbers, 2012 Edition
- Some comments on approximately equal FP comparisons
- Comparing Floating-Point Numbers Is Tricky

# Floating point tools:

- IEEE754 visualization/converter
- Find and fix floating-point problems



DURING A COMPETITION, I TOLD THE PROGRAMMERS ON OUR TEAM THAT  $e^{\pi} - \pi$ WAS A STANDARD TEST OF FLOATING-POINT HANDLERS -- IT WOULD COME OUT TO 20 UNLESS THEY HAD ROUNDING ERRORS.

